EXHIBIT 11

Minn. Stat. § 524.3-804

DISTRICT COURT FIRST JUDICIAL DISTRICT Court File No.: 10-PR-16-46

STATE OF MINNESOTA COUNTY OF CARVER

In Re: Estate of

STATEMENT OF UNSECURED CLAIM

Prince Rogers Nelson,

Decedent.

I, Christina Suarez, the Chief of Staff of Roc Nation LLC, and its relevant licensors, licensees, assignors and assignees, inclusive of Wimp Music AS and Aspiro AB (collectively, "Roc Nation"), state the following:

1. Claimant's Information. I am a claimant in this matter. My name and address are:

Roc Nation LLC c/o Reed Smith LLP 599 Lexington Avenue 22nd Floor New York, New York 10022 Rodney J. Mason, Ltd. 332 Minnesota Street Suite W-3070 St. Paul, MN 55101

- 2. Amount of Claim. The decedent's estate is and/or will become indebted to me in an amount to be determined at trial.
- 3. Nature of Claim. The nature of the claim is: Written Contract for services and distribution of intellectual property as modified by subsequent writings, course of dealing between the parties and oral understandings and agreements. The nature and extent of the claim is set forth with greater particularity in Roc Nation's letter to the Special Administrator dated May 27, 2016 and the letter from my attorney, Rodney J. Mason, Ltd, dated October 21, 2016 that was sent to the Court and filed with the Court on the same date.
- 4. Date of Claim. The decedent died on April 21, 2016. The claim arose on or about August 1, 2015, prior to the decedent's death.
- 5. No Security Interest. The claim is unsecured.
- 6. Existence of Contract. The claim is based on a contract. The contract does not include accrual of interest.
- 7. Due Date. The claim will be due and payable at a future date.
- 8. **Contingencies of Claim.** The claim is not contingent but is unliquidated. The claim is unliquidated because it depends on the value of future services and distribution rights.

Court File No. 10-PR-16-46

9. Status of Claim. Roc Nation's position is that its letter of May 27, 2016 to the Special Administrator was adequate and sufficient notice of claim within the meaning of Minn. Stat., Sec. 524.3-804, that this notice relates back to the May 27, 2016 notice of claim, that the Special Administrator had not disallowed the claim within two months of the date of original presentation of the claim and that the failure of the Special Administrator to disallow the claim within two months has the effect of a notice of allowance of the claim as provided by Minn. Stat., Sec. 524.3-806.

Dated:

ROC NATION LLC

By Claimant

Filed in First Judicial District Court 12/5/2016 6:27:08 PM Carver County, MN

Court File No. 10-PR-16-46

Rodney J. Mason, #68378 Kirstin E. Helmers, #0388124 RODNEY J. MASON, LTD. 332 Minnesota Street, Suite W-3070 St. Paul, MN 55101 651-224-5343 651-224-5711 fax rmason@rodneyjmason.com

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EXHIBIT 12

STATE OF MINNESOTA

COUNTY OF CARVER

In Re: Estate of Prince Rogers Nelson,

Decedent.

DISTRICT COURT FIRST JUDICIAL DISTRICT PROBATE DIVISION

Court File No. 10-PR-16-46

PETITION OF ROC NATION LLC FOR ALLOWANCE OF CLAIM AND ADDITIONAL RELIEF

Roc Nation LLC ("<u>Roc Nation</u>"), for itself and on behalf of its affiliated organizations (collectively, the "<u>Petitioners</u>"), hereby states the following in support of their petition: (i) for allowance of Petitioners' Claim asserted on May 27, 2016; and (ii) to grant Petitioners access to information concerning Bremer Trust, N.A.'s (the "<u>Special Administrator</u>") business dealings in its capacity as Special Administrator.

1. Claimant's Information. I am a claimant in this matter. My name and address are:

Roc Nation LLC c/o Reed Smith LLP 599 Lexington Avenue New York, New York 10022

- <u>Amount of Claim</u>. The decedent is Prince Rogers Nelson (the "<u>Decedent</u>"). The Decedent's estate (the "<u>Estate</u>") is and/or will become indebted to Petitioners in an amount to be determined at trial.
- 3. <u>Nature of Claim</u>. The nature of the claim is: Written Contract for services and distribution of intellectual property as modified by subsequent writings, course of dealing between the parties and oral understandings and agreements. The nature and extent of the claim is set forth with greater particularity in Petitioners' letter to the Special

Administrator dated May 27, 2016 (the "<u>Notice of Claim</u>") and the letter from my attorney, Rodney J. Mason, Ltd., dated October 21, 2016 that was sent to the Court and filed with the Court on the same date. The Special Administrator emailed to my attorney a Notice of Disallowance of Purported Claim for the claims set forth in the letter of May 27, 2016, the letter to the Special Administrator dated October 17, 2016, and the letter filed with this Court on October 21, 2016. The claim is further set forth in the Statement of Unsecured Claim filed herein on November 7, 2016.

- <u>Date of Claim</u>. The Decedent died on April 21, 2016. The claim arose on or about July 19, 2015, prior to the Decedent's death.
- 5. No Security Interest. The claim is unsecured.
- Existence of Contract. The claim is based on a contract and a course of dealing between the parties. The contract does not include accrual of interest.
- 7. **<u>Due Date</u>**. The claim will be due and payable at a future date.
- Contingencies of Claim. The claim is not contingent but is unliquidated. The claim is unliquidated because it depends on the value of future services and distribution rights.
- 9. <u>Validity of Claim</u>. Petitioners were known creditors by reason of written contract, a course of dealing between Petitioners and Decedent or entities controlled by Decedent, and a claim was presented as described in Paragraph 3 above.
- Pursuant to this Court's Register of Actions, the deadline for submitting claims against the Estate was September 10, 2016.

- As set forth in the Notice of Claim, Petitioners gave written notice of their contractual claims to the Special Administrator no later than May 27, 2016.
- 12. The Notice of Claim is adequate and sufficient notice of claim within the meaning of Minn. Stat. Sec. 524.3-804, as Petitioners mailed a written statement of their claim to the Special Administrator on May 27, 2016, indicating the basis of the claim.
- 13. Pursuant to Minn. Stat. Sec 524.3-806, "failure of the personal representative to mail notice to a claimant of action on the claim for two months after the time of original presentation of the claim has expired has the effect of a notice of allowance." <u>See Minn.</u> Stat. Sec 524.3-806.
- 14. The Special Administrator did not formally object to the Notice of Claim, by disallowance of the claim or otherwise, within two months of the date of the Notice of Claim, as required under Minn. Stat. Sec 524.3-806.
- 15. In fact, nearly five (5) months after Petitioners submitted their Notice of Claim, the Special Administrator belatedly attempted to disallow Petitioners' claim by letter and notice of disallowance dated October 25, 2016 (the "October 25 Letter").
- 16. Notably, the October 25 Letter from counsel for the Special Administrator implicitly acknowledged that Petitioners had made claims against the Estate on May 27, 2016, October 17, 2016 and October 21, 2016. Thus, at the very least, the letter dated May 27, 2016 which underlies the Notice of Claim was timely and received by the Special Administrator.

- 17. On November 7, 2016, Petitioners filed their Statement of Unsecured Claim, setting forth, among other things, the nature and status of Petitioners' claim.
- 18. Pursuant to the October 25 Letter, Petitioners' claim will be barred unless a petition for allowance is filed with the Court or Petitioners commence a proceeding against the Special Administrator not later than two months after the mailing of the notice.
- 19. Petitioners now timely bring this petition pursuant to Minn. Stat. Sec 524.3-806, and respectfully request that this Court declare the allowance of Petitioners' claim.
- 20. Claim Already Allowed As a Matter of Law. The Special Administrator's failure to timely disallow the claim for which Petitioners gave notice by letter dated May 27, 2016 had the legal effect of an allowance of the claim pursuant to Minnesota Statutes, Section 524.3-806, subject to Petition for Disallowance of Claim Previously Allowed. In any event, Petitioners were known creditors for purposes of giving notice and did not receive notice. In the alternative, Petitioners should be given leave to make a late claim on the grounds that there will be no prejudice to the Estate and indeed a benefit to the Estate by reason of permitting Petitioners' claim, as well as because leave to file a late claim is to be freely granted.
- 21. <u>Further Particulars of Petitioners' Claim</u>. Petitioners' claim concerns musical assets previously controlled and owned by entities associated with the Decedent and now purportedly controlled by the Estate (the "<u>Musical Assets</u>").
- 22. At the heart of Petitioners' claim is their contractual rights relating to Petitioners' streaming, distribution, exploitation and other rights in connection with the Musical

Assets. Petitioners' rights, in part, resulted from Decedent's business relationship with TIDAL, the artist-owned streaming service started by Shawn Carter, professionally known as Jay-Z.

- 23. In July 2015, in anticipation of a forthcoming exclusive streaming relationship between TIDAL and Decedent, Petitioners and Decedent (and his respective licensing entities) entered into an Equity Term Sheet ("Equity Term Sheet"), setting forth preliminary terms governing the relationship between the relevant parties.
- 24. Pursuant to the Equity Term Sheet, Decedent committed to granting TIDAL worldwide digital streaming rights (interactive and non-interactive) to certain committed content consisting of Decedent's next two (2) newly recorded and previously unreleased fulllength studio albums (and the associated videos and singles) (each an "<u>Artist Album</u>" and collectively, the "<u>Committed Content</u>"), and to providing such Committed Content within five years.
- 25. The Decedent also agreed to cause NPG Music Publishing, Decedent's publishing designee, to license to Petitioners the music publishing rights, for streaming and other authorized exploitations on TIDAL, including the Committed Content.
- 26. Further, pursuant to the Equity Term Sheet, the parties anticipated an "exclusivity period" whereby each Artist Album would stream exclusively on TIDAL for a period of ninety days. During this period, each Artist Album would not be available to or accessible by the public in any format or by any distribution method except through streaming on TIDAL.

27. Importantly, Decedent agreed that from the date of the Equity Term Sheet until its termination or for a period of five years, Decedent would not approve, assent, consent to or grant to any digital music service anywhere in the world other than Petitioner, exclusive rights with respect to Decedent's music or music-related audiovisual content or (ii) the right to use of Decedent's name and/or likeness to promote, advertise or market such other digital music service." The Equity Term Sheet defined "digital music service" to mean:

Any entity, platform or online service that allows users to stream (interactive or non-interactive) or download (tethered or permanent) music or music-related audiovisual content or in any way manner listen to music or view music-related audiovisual content, whether using technology now known or hereafter devised, regardless of streaming protocol, file format or receiving device, but excluding the digital transmission of a terrestrial radio broadcast by the originating broadcaster. Without limiting the preceding sentence, "digital music service" shall include, without limitation, iTunes, Apple Music, Spotify, Google Play, Rdio, Deezer, Rhapsody and Amazon Music.

- 28. The Equity Term Sheet also contemplated a relationship between Decedent (for himself and entities associated with Decedent) and TIDAL that included activities outside of streaming albums on TIDAL.
- 29. In exchange for the rights granted to Petitioners under the Equity Term Sheet, Mr. Nelson was to receive, among other things, an advance payment as well as streaming royalties.
- 30. In addition to the Equity Term Sheet, Decedent entered into various agreements to further memorialize Decedent's exclusive relationship with Petitioners and TIDAL, including, but not limited to, an exclusive worldwide distribution agreement between Petitioners' licensors, Aspiro AB as assignee of WiMP Music AS and NPG Records, Inc. ("NR") and

NPG Music Publishing, LLC ("<u>NPG Music Publishing</u>"), (together with NR, "<u>NPG</u>"), dated August 1, 2015 (as thereafter amended, the "<u>Distribution Agreement</u>").

- 31. The Distribution Agreement provides that its term is the longer of three years or full recoupment of monies advanced under the Distribution Agreement. As neither of these milestones has yet occurred, the Distribution Agreement remains in full force and effect.
- 32. When read together, the Equity Term Sheet and the Distribution Agreement set forth the terms on which Decedent and NPG (and other applicable parties acting on Decedent and NPG's behalves) conveyed to Petitioners a contractual right to, among other things:
 - Exclusively stream the Decedent's two-phased album "HITnRUN" (the "<u>First</u> <u>Album</u>") <u>and</u> one additional newly recorded and previously unreleased full-length studio album (and the associated videos and singles) (the "<u>Second Album</u>") for a period of ninety (90) days each;
 - Exploit the First Album and the Second Album (and related artwork) in any and all media (including without limitation, all physical and digital rights);
 - On a non-exclusive basis, to digitally stream the First Album and the Second Album after the ninety day exclusivity period; and
 - The exclusive right to remix the second phase of the First Album.
- 33. Petitioners also have the right to exclusively stream the Decedent's entire catalogue of music, with certain limited exceptions, on the TIDAL streaming service pursuant to subsequent agreements and a course of dealing between the parties.
- 34. Additionally, the Distribution Agreement provides that any and all exploitations of the First Album (including the masters, artwork, advertising, marketing, promotion and all related budgets) other than via TIDAL's streaming service, will require mutual approval by NR and TIDAL.

- 35. Petitioners have an interest in the Estate because the Estate now purportedly holds and controls the Musical Assets and rights related thereto to which Petitioners possess contractual and other rights. As such, Petitioners are "interested persons" within the meaning of Minnesota Law Section 524.1.
- 36. <u>Additional Relief Requested</u>. In addition to allowance of their claim, Petitioners also seek relief on the basis that the Special Administrator is not following the procedures and protocols established by the Court by prior Order.
- By Order dated April 27, 2016 (the "<u>Appointment Order</u>"), this Court formally appointed
 Bremer Trust, National Association as the Special Administrator of the Estate.
- 38. By operation of Minn. Stat. § 524.3-617 and this Court's Letters of Special Administration dated April 27, 2016, the Special Administrator has the limited authority to "manage and supervise the Decedent's assets and determine the identity of the Decedent's heirs. The appointment shall continue for the lesser of 6 months or until a Petition for General Administration is filed and Personal Representative is appointed."
- 39. Furthermore, Minnesota's Probate Code extends authority to Special Administrators to act reasonably for the benefit of the interested persons by performing Decedent's enforceable contracts disposing of assets, and effecting fair and reasonable compromises. <u>See Minn. Stat. § 524.3-715.</u>
- 40. By Order dated October 25, 2016, this Court extended the appointment of Special Administrator until January 2, 2017 (the "Extension Order"). Notably, the Extension

Order only extended the term of the Special Administrator's appointment but did not expand the authority of the Special Administrator.

- 41. By Order dated August 30, 2016 (the "Protocol Order"), this Court adopted a modified protocol that further limited the manner in which the Special Administrator could enter into confidential business agreements that would sell (or "exploit" as that term is commonly understood in the music industry) any asset of the Estate for more than \$50,000.
- 42. The Protocol Order states in relevant part:

1. The Special Administrator will provide reasonable notice during the normal course of business under the circumstances of any such proposed agreement, which the Special Administrator expects to be a) 24 hours for any agreement more than \$50,000 but less than \$100,000, or with a term of less than 100 days ("Minor Deal"); and b) no less than 48 hours for any agreement \$100,000 or more, or with a term of more than 100 days ("Major Deal"). However, "reasonable notice" may be more or less than this expected amount of time.

2. Before entering into any such proposed agreement, the Special Administrator will provide a copy of the proposed agreement to attorneys for potential heirs on an attorneys' eyes only basis in accordance with the time periods set forth in Paragraph 1 or as soon as practicable.

- 43. Thus, the Special Administrator is required to submit to the heirs of the Estate any and all proposed agreements purporting to exploit, for example, any of the Musical Assets.
- 44. As widely disseminated by the media, the Special Administrator has recently entered into a distribution agreement with Universal Music Publishing Group related to, among other things, the exploitation of Decedent's Musical Assets (the "UMPG Deal").

- 45. While Petitioners lack knowledge as to whether the Special Administrator submitted the UMPG Deal to the heirs for their consideration and comment prior to entering into the deal, Petitioners were not contacted about this deal, and have no knowledge of its terms.
- 46. Petitioners are concerned that the Special Administrator may be negotiating with third parties concerning the digital streaming of the Musical Assets, or other rights to exploit the Musical Assets, which prospective arrangements may contravene or negatively impacts the rights of Petitioners. Counsel for the Special Administrator has declined to confirm to Petitioners whether the Special Administrator is in the process of finalizing any such potential deals, and has refused to provide documents and information to Petitioners.
- 47. The Special Administrator is actively shielding its business dealings from the public and interested parties, including the Petitioners. To this end, the Special Administrator has continued to petition this Court to file the details of important information under seal.
- 48. In an effort to protect its contractual rights concerning the Musical Assets, Petitioners sought to engage the Special Administrator in discussions concerning current business dealings.
- 49. The Special Administrator has refused to offer any information to Petitioners concerning the Special Administrator's current business dealings.
- 50. Due to the manner in which the Special Administrator is conducting business concerning the Estate, Petitioners respectfully request access to any and all information within the possession of the Special Administrator concerning the distribution or exploitation of the

Musical Assets, and further request that Petitioners be given advanced notice of any agreements or business dealings that may affect Petitioners' claim and interest in the Estate.

- 51. In conclusion, Petitioners respectfully request an Order: (i) declaring that Petitioners' claim asserted on May 27, 2016, October 17, 2016, and October 21, 2016 is allowed; and (ii) granting Petitioners access to information concerning the Special Administrator's business dealings concerning the Estate.
- 52. I declare under penalties of perjury that this petition has been examined by me and that its contents are true, accurate, and complete to the best of my information, knowledge and belief. I further affirm that I am duly authorized to make this petition on behalf of Petitioners.

Dated: November 1, 2016

Drafted by:

Rodney J. Mason, #68378 Kirstin E. Helmers, #0388124 RODNEY J. MASON, LTD. 332 Minnesota Street, Suite W-3070 St. Paul, MN 55101 651-224-5343 651-224-5711 fax rmason@rodneyjmason.com khelmers@rodneyjmason.com Attorneys for Petitioner Roc Nation LLC

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EXHIBIT 13

UNITED STATES DISTRICT COURT

DISTRICT OF MINNESOTA

NPG RECORDS, INC. AND NPG MUSIC PUBLISHING, LLC,

Plaintiffs,

COMPLAINT

Case No. _____

vs.

ROC NATION LLC,

Defendant.

JURY TRIAL DEMANDED

COMPLAINT

Plaintiffs NPG Records, Inc. and NPG Music Publishing, LLC. ("Plaintiffs"), by and through their attorneys of record, allege as follows for their copyright infringement complaint against Roc Nation LLC.

PARTIES

1. Plaintiff NPG Records, Inc. is a Minnesota corporation with its principal place of business at 7801 Audubon Road, Chanhassen, Minnesota 55317 ("NPG Records").

2. Plaintiff NPG Music Publishing, LLC is a California limited liability company with its principal place of business at 7801 Audubon Road, Chanhassen, Minnesota 55317 ("NPG Music Publishing").

3. Defendant Roc Nation LLC is a limited liability company organized and existing under the laws of the State of Delaware, with its principal place of business at 1411 Broadway, 39th Floor, New York, NY 10018.

JURISDICTION AND VENUE

4. This is an action for copyright infringement under the Copyright Act, 17
U.S.C. §§ 101 *et seq.* This Court has subject matter jurisdiction pursuant to 28 U.S.C. §§ 1331, 1332, and 1338.

5. This Court has personal jurisdiction over Roc Nation because it has committed acts of copyright infringement in this judicial district (as well as elsewhere in the United States), offers services in this judicial district, and has appeared and made filings in state court in Minnesota in the pending probate matter for Prince Rogers Nelson.

6. Venue is proper in this judicial district pursuant to 28 U.S.C. §§ 1391(b) and 1391(c) and 28 U.S.C. § 1400(a). Roc Nation does business in the District of Minnesota and has engaged in acts of infringement in this judicial district, and otherwise a substantial part of the events or omissions giving rise to this action occurred in this judicial district.

ALLEGED FACTS

7. Prince Rogers Nelson, the international superstar and renowned artist known as "Prince," died on April 21, 2016, at Paisley Park in Chanhassen, Minnesota.

8. A probate matter for the estate of Mr. Nelson (the "Prince Estate") was commenced on April 27, 2016 and is pending in Carver County, Minnesota, Court File No. 10-PR-16-46.

9. Bremer Trust, N.A., was appointed as the Special Administrator of the Prince Estate on April 27, 2016. As the Special Administrator, Bremer Trust has the authority to act on behalf of all business entities owned or controlled by the Prince Estate, including Plaintiffs. April 27, 2016 Order of Formal Appointment of Special Administrator, Carver

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County Minnesota, Court File No. 10-PR-16-46; *see* Minn. Stat. §§ 524.3-617, 524.3-715. As the Special Administrator, Bremer Trust also has a fiduciary duty to the ultimate heirs of the Prince Estate and to protect the assets of the Prince Estate. *See* Minn. Stat. §§ 524.3-617, 524.3-703. Bremer Trust's appointment as Special Administrator in the Carver County probate matter was extended on October 25, 2016.

10. NPG Records and NPG Music Publishing own copyrights in works written and recorded by Prince Rogers Nelson, including but not limited to the copyrighted works listed in Exhibit A (the "Prince Copyrighted Works").

11. The Prince Copyrighted Works are registered with the United States Copyright Office as musical works and sound recordings.

12. Before Mr. Nelson's death, NPG Records and NPG Music Publishing entered into an agreement entitled Letter of Intent dated August 1, 2015 ("Letter of Intent") with WiMP Music AS ("Tidal").

13. On information and belief, and based on documents Roc Nation has filed in the Carver County probate matter, Tidal is a music service of, owned by, affiliated with, and/or controlled by Roc Nation.

14. Tidal offers music for streaming and purchase and is available at http://tidal.com/us.

15. The Letter of Intent granted an exclusive license to Tidal for exploitation of "the next newly recorded studio LP by the recording artist known as 'Prince' (the 'Prince Album')." Letter of Intent, ¶ 1.

16. The next newly recorded studio LP by the recording artist known as Prince (i.e., the Prince Album) was the album titled "Hit N Run: Phase 1."

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17. The exclusivity period for the license granted to Tidal in the Letter of Intent was for 90 days from the effective date of August 1, 2015. Letter of Intent, \P 2.

Plaintiffs are not aware of any agreements with Roc Nation or Tidal by NPG
 Records or NPG Music Publishing other than the Letter of Intent.

19. Roc Nation, through its Tidal service, is exploiting many copyrighted Prince works in addition to the works that comprise the Hit N Run: Phase 1 album.

20. On information and belief, Roc Nation, through its Tidal service, began exploiting some of these works after Prince's death and on or about June 7, 2016. *See* <u>https://www.cnet.com/news/tidal-adds-rare-prince-albums-to-catalog</u>.

21. Tidal and Roc Nation did not communicate with Bremer Trust or Plaintiffs following Mr. Nelson's death about the decision to expand the offering of Prince works on the Tidal service.

22. Screen shots of Prince works being exploited by Roc Nation through the Tidal service are attached as Exhibit B.

23. Following its appointment as Special Administrator, and on multiple occasions, Bremer Trust requested certain information and documents from Tidal and Roc Nation pursuant to the Letter of Intent.

24. Bremer Trust's requests included a request for any basis for the exploitation of Prince works in addition to the works that comprise the Hit N Run: Phase 1 album.

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25. On October 21, 2016, Roc Nation filed a letter and attachments in the Carver County probate matter claiming that Roc Nation had "various agreements between the relevant parties," both oral and written, that were confirmed by a course of dealing.

26. According to Roc Nation's October 21, 2016 letter, these purported agreements include the grant of rights for Roc Nation to exclusively stream Mr. Nelson's entire catalog on the Tidal service.

27. Roc Nation did not include any written agreements or documentation substantiating any purported agreements with its October 21 filing.

28. On November 7, 2016, Roc Nation filed a "Statement of Unsecured Claim" in the Carver County probate matter. Like Roc Nation's October 21 letter, the Statement of Unsecured Claim claimed that Roc Nation had a "Written Contract for services and distribution of intellectual property as modified by subsequent writings, course of dealing between the parties and oral understandings and agreements."

29. Roc Nation did not include any written agreements or documentation substantiating any purported agreements with its November 7 filing.

30. On November 11, 2016, Roc Nation filed a "Petition of Roc Nation LLC for Allowance of Claim and Additional Relief" in the Carver County probate matter. Like Roc Nation's prior filings in the Carver County probate matter, this Petition claimed that Roc Nation has contractual rights to exploit intellectual property assets of the Prince Estate.

31. Roc Nation did not include any written agreements or documentation substantiating any purported agreements with its November 11 filing.

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32. Despite the repeated requests from the Special Administrator, and Roc Nation's multiple filings in the Carver County probate matter, Tidal and Roc Nation have not provided any documentation or evidence of any oral and implied agreement granting Roc Nation any rights beyond those rights granted in the Letter of Intent.

33. In particular, Roc Nation and Tidal have not provided any documentation substantiating Roc Nation's claim that it has rights to exploit any Prince Copyrighted Works in addition to the works that comprise the Hit N Run: Phase 1 album.

34. For the avoidance of doubt, and without conceding that Roc Nation had any license, oral, implied, or otherwise, to exploit any Prince copyrighted works in addition to those songs on the Hit N Run: Phase 1 album, to the extent that any such license might exist, Bremer Trust, on behalf of NPG Records, Inc. and NPG Music Publishing, LLC, has terminated, in writing, any such license that might have existed.

35. In accordance with its fiduciary duties and authority, Bremer Trust authorized this action for copyright infringement on behalf of Plaintiffs to protect the assets of the Prince Estate based on the lack of any documentation that Roc Nation has any rights to exploit any Prince Copyrighted Works in addition to those works on the Hit N Run: Phase 1 album.

COUNT I

COPYRIGHT INFRINGEMENT OF MULTIPLE WORKS

36. Paragraphs 1 through 35 are incorporated by reference as though fully set forth herein.

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37. The Prince Copyrighted Works constitute copyrightable subject matter under the laws of the United States pursuant to 17 U.S.C. § 102(a)(2) (musical works) and 17 U.S.C. § 102(a)(7) (sound recordings).

38. The Prince Copyrighted Works have been registered with the United States Copyright Office as indicated in Exhibit A.

39. Roc Nation and/or its affiliates have reproduced, distributed, and publicly performed, and continues to reproduce, distribute, and publicly perform, Prince Copyrighted Works without permission in violation of Plaintiffs' exclusive rights under 17 U.S.C. § 106.

40. Therefore, Roc Nation has committed copyright infringement and continues to infringe the copyrights in the Prince Copyrighted Works under 17 U.S.C. § 501.

41. As a result of such copyright infringement, Plaintiffs have suffered damages in an amount to be determined at trial.

42. On information and belief, Roc Nation will continue to infringe the Prince Copyrighted Works unless enjoined by this Court.

43. As a result of Roc Nation's copyright infringement of the Prince Copyrighted Works, Plaintiffs are entitled to recover their actual damages and Roc Nation's profits or, at Plaintiffs' election, statutory damages pursuant to 17 U.S.C. § 504, as well as costs and attorneys' fees pursuant to 17 U.S.C. § 505.

44. As a result of Roc Nation's copyright infringement of the Prince Copyrighted Works, Plaintiffs are also entitled to injunctive relief pursuant to 17 U.S.C. § 502.

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PRAYER FOR RELIEF

WHEREFORE, Plaintiffs seek relief as follows:

- That the Court enter judgment in favor of Plaintiffs and against Roc Nation on Plaintiffs' claim;
- 2. That the Court, during the pendency of this action and permanently, enjoin Roc Nation, along with its affiliates, officers, agents, servants, employees, representatives, attorneys, and assigns, and all other persons and entities in active concert or participation with Roc Nation, from reproducing, distributing, and publicly performing the Prince Copyrighted Works other than those on the Hit N Run: Phase 1 Album.
- 3. That the Court order Roc Nation to account for and pay to Plaintiffs their actual damages in the form of Roc Nation's profits and Plaintiffs' damages, or, at Plaintiffs' election, statutory damages up to the maximum amount allowed for willful infringement of copyright pursuant to 17 U.S.C. § 504.
- 4. That the Court order Roc Nation to pay Plaintiffs' attorneys' fees, costs, and expenses pursuant to 17 U.S.C. § 505; and
- 5. That the Court award Plaintiffs any other and further relief as the Court may deem just and proper.

JURY TRIAL DEMAND

Pursuant to Federal Rule of Civil Procedure 38(b), Plaintiffs demand a trial by jury on all issues triable by jury.

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Date: November 15, 2016

By: <u>s/Katherine A. Moerke</u> Katherine A. Moerke (MN #312277) Liz Kramer (MN #325089) Joel D. Leviton (MN #308687) STINSON LEONARD STREET LLP 150 South Fifth Street , Suite 2300 Minneapolis, MN 55402 Telephone: (612) 335-1500 Facsimile: (612) 335-1657 <u>katie.moerke@stinson.com</u> <u>liz.kramer@stinson.com</u> <u>joel.leviton@stinson.com</u>

ATTORNEYS FOR PLAINTIFFS NPG RECORDS, INC. AND NPG MUSIC PUBLISHING, INC.

Album Title	Sound Recording Copyright Registration	
	Number	
3121	SR0000386241	
Crystal Ball	SR0000252823	
Emancipation	SR0000234366	
Musicology	SR0000364418	
One Nite Alone	SRu000455582	
One Nite AloneLive!	SRu000544511	
One Nite AloneThe Aftershow: It	SRu000544513	
Ain't Over		
Rave Un2 the Joy Fantastic	SR0000302412	

Exhibit A: Prince Copyrighted Works

Song Title	Musical Work Copyright Registration Number
1999	PAu000440507/PA0000157921
3121	PA 1-331-125
\$	PA 1-695-105
Back 2 the Lotus	PA 1-695-100
1+1+1 is 3	PA 1 074 929
18 and over	PAU 2026835
2 Nigs united 4 west Compton	PAU 1027481
4ever	PA 1-695-123
77 Beverly Park	PA 1-695-107
Adore	PA 339 619
Alphabet Street	PA0000377936/PAu001081257
Anna Stesia	PAU001081255/PA0000377935
Anotherloverholenyohead	PA0000291374
Baby knows	PA 1 199 869
Baby, I'm a Star	PA0000217253/PAu000613663
Better with time	PA 1-695-104
Black Sweat	PA 1-331-128
Boom	PA 1-695-097
Chocolate Box	PA 1-695-115
Colonized Mind	PA 1-695-121
Condition of the heart	PAU 705004 / PA 255 665
Controversy	PA 130 927
Cream	PA0000549273/PAu001547996
D.M.S.R.	PAu000440511/PA0000157926
Dance 4 Me	PA 1-695-113
Darling Nikki	PA0000217251/PAu000613661
Dear Mr. Man	PA 1 270 213

Deconstruction	PA 1 074 930
Delirious	PAU 440509 / PA 157 924
Diamonds and Pearls	PA0000549272/PAu001547993
Digital garden	PA 1 074 924
Do Me, Baby	PA0000130925
Dreamer	PA 1-695-103
Everywhere	PA 1 074 926
Extraordinary	PAU 1678306
Family name	PA 1 074 933
Feel Batter, Feel Good, Feel	PA 1-695-119
Wonderful	
Free	PAU 440 514 / PA 157 929
Friend, Lover, Sister, Mother/Wife	PA 889 436
From the Lotus	PA 1-695-095
Fury	PA 1-331-132
Get on the boat	PA 1-331-124
Girls and Boys	PA 291 369
Here	PA 1-695-109
Hot Thing	PA0000339611
Hot Wit' U	PA 1 199 874
How Come U Don't Call Me	PA0000157922
Anymore?	
I hate U	PA0000774220/PAu001989956
I love U but I don't trust U anymore	PA 1 199 868
I wanna be your lover	PA 46 506
I Would Die 4 U	PA0000217252/PAu000613662
If I was the man in ur life	PA 1 270 211
If I Was Your Girlfriend	PA0000339614
Incense and Candles	PA 1-331-129
International Lover	PA0000157932/PAu000440517
Joy in repetition	PA 498 333
Kiss	PA0000284474
Last December	PA 1 074 935
Last heart	PAu001194197
Letitgo	PA0000731866/PAu001877187
Let's Pretend We're Married	PAu000440510/
	PA0000157925
Life 'o' the Party	PA 1 270 206
Little Red Corvette	PA0000157923/PAu000440508
Lolita	PA 1-331-126
Love	PA 1-331-130
Love like jazz	PA 1-695-117

Man 'O' War	PA 1 199 870
Mellow	PA0001074928
Money Don't Matter 2 Night	PAu001547998/PA0000549275
Mr. Happy	PA 844 763
Muse 2 the pharaoh	PA 1 074 923
Musicology	PA 1 270 203
New Power Generation	PA0000498330
No more candy 4 u	PA 1-695-099
Ol' Skool Company	PA 1-695-101
On the couch	PA 1 270 212
Peach	PA 669 561
Pink Cashmere	PA0000669968/PAu001770697
Planet Earth	PA 1 693 115
Poom Poom	PAu002015091
Pop Life	PA0000255667/PAu000705008
Purple Rain	PA0000217254/PAu000613664
Rainbow children	PA 1 074 922
Rave Un2 the joy fantastic	PA 1 199 877
Reflection	PA 1 270 214
Right back here in my arms	PA0000889437
Satisfied	PA 1-331-131
Sex in the Summer	PA 889 436
She loves me 4 me	PA 1 074 932
Shhh.	PAu001805925
Silly game	PA 1 199 867
Sleep Around	PA 844 765
So Far, So Pleased	PA 1 199 872
Strange relationship	PA 339 615
Stray's of the world	PAu001803721
Style	PA 844 763
Take me with U	PA 217 249
Tangerine	PA 1 199 873
Te Amo Corazon	PA 1-331-127
The Ballad of Dorothy Parker	PA 339 607
The Beautiful Ones	PAU 613659 / PA 217 250
The Dance	PA 1-331-135
The Everlasting Now	PA 1 074 934
The Marrying Kind	PA 1 270 210
The Ride	PAU 1803728
The Sensual Everafter	PA 1 074 927
The Sun, the Moon and Stars	PA 1 199 871
The Work, Pt. 1	PA 1 074 925

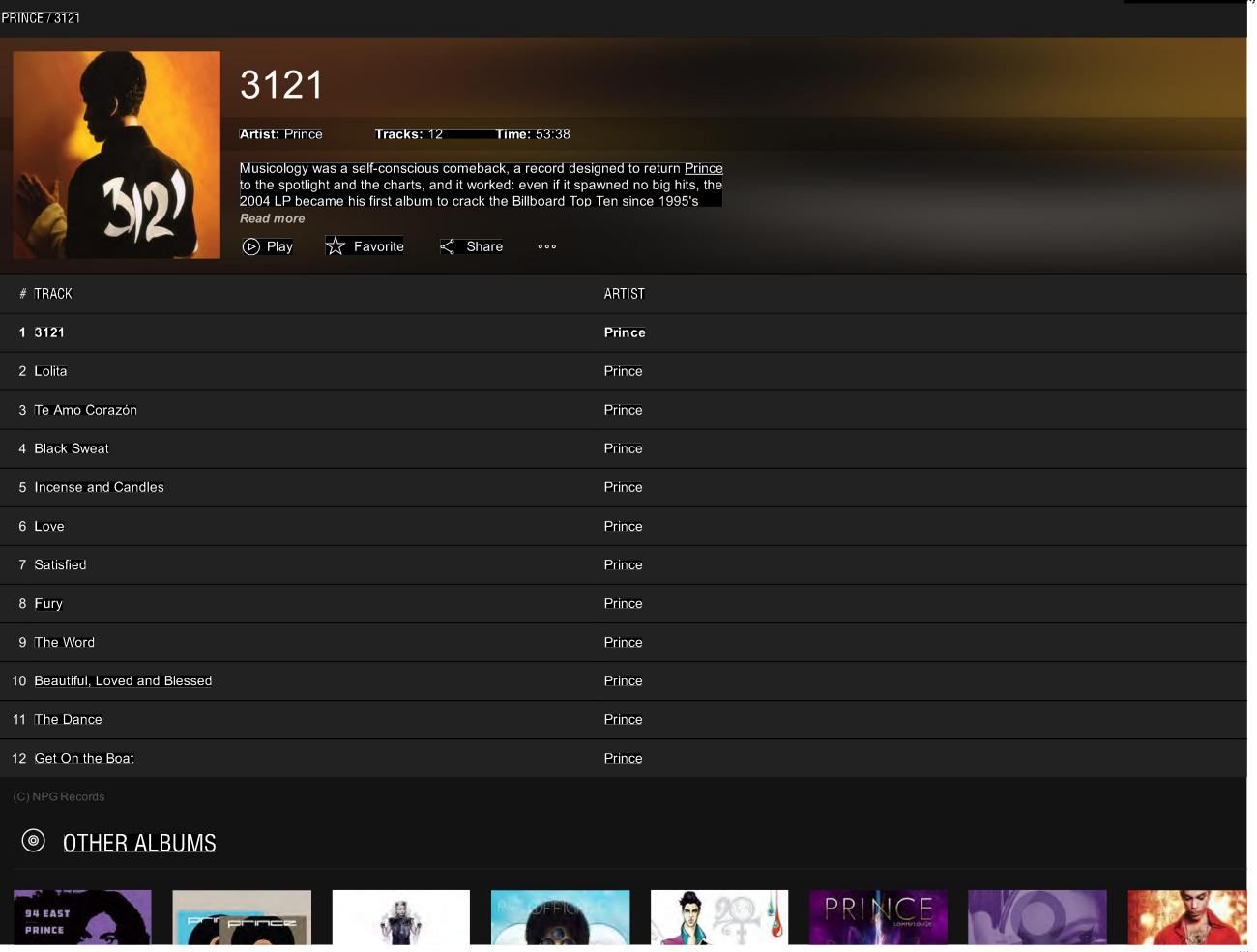
U're gonna C me	PA 1-695-111
Valentina	PA 1-695-106
Wall of Berlin	PA 1-695-092
Wedding Feast	PA 1 074 931
What Do U Want Me To Do	PA 1 270 209
When Doves Cry	PA0000220373/PAu000609914
When you were mine	PAU 221268 / PA 85 233
Wherever U go, whatever U do	PA 1 199 865

EXHIBIT B

11/15/2016

10-PR-16-46 CASE 0:16-cv-03909 Document¹1¹²²^{nce}Filet 11/15/16 Page 2 of 9

1/10/2010			15/16 Page 2 01 9
*** TIDAL	PRINCE / 3121		
TIDAL Premium		3121	
☆ What's New		Artist: Prince Tracks: 12 Time: 53:38	
R TIDAL Rising	NU RIOL	Musicology was a self-conscious comeback, a record des to the spotlight and the charts, and it worked: even if it spa	awned no big hits, the
D Playlists	A 212'	2004 LP became his first album to crack the Billboard Top Read more	Ten since 1995's
Genres		🕞 Play 🖌 Favorite < Share 🚥	
□1 Music Videos	# TRACK		ARTIST
Movies & Shows	1 3121		Prince
→ My Music	2 Lolita		Prince
	3 Te Amo Corazón		Prince
+ Create New Playlist	4 Black Sweat		Prince
Playlists	5 Incense and Candles		Prince
Albums Alb	6 Love		Prince
☐ Tracks	7 Satisfied		Prince
☐1 Videos	8 Fury		Prince
P Artists	9 The Word		Prince
贷 Settings	10 Beautiful, Loved and Blessed		Prince
	11 The Dance		Prince
	12 Get On the Boat		Prince
	(C) NPG Records		



11/15/2016

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1, 10,2510			/15/10 Paye 5 01 9
*** TIDAL	PRINCE / CRYSTAL BALL		
TIDAL Premium		Crystal Ball	
☆ What's New	CITYSIA	Artist: Prince Tracks: 30 Time: 2:29:12	
R TIDAL Rising			
Playlists			
Genres		ি Play 🔀 Favorite <ổ Share ∞∞	
🗅 Music Videos	# TRACK		ARTIST
Movies & Shows	VOLUME 1		
★ My Music	다» Crystal Ball		Prince
+ Create New Playlist	2 Dream Factory		Prince
Playlists	3 Acknowledge Me		Prince
 Albums 	🕑 Ripopgodazippa		Prince
 ♬ Tracks	5 Love Sign (Shock G.'s Silky Re	mix)	Nona Gaye, Prince
□1 Videos	6 Hide The Bone		The New Power Generation
🖉 Artists	7 2morrow		Prince
贷 Settings	8 So Dark		Prince
	9 Movie Star		Prince
	10 Tell Me How U Want 2 B Done		Prince, The New Power Generation
	VOLUME 2		
	1 Interactive		Prince
	2 Da Bang		Prince
	3 Calhoun Square		Prince
	4 What's My Name		Prince
https://listen.tidal.com/album/61495889			



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10-PR-16-46 CASE 0:16-cv-03909 Documenter的空2/P伸伸在中生1/15/16 Page 4 of 9

11/15/2016		CASE 0:16-CV-03909 Docummenter 202/Pimiled P41/15/16 Page 4 of 9
*** TIDAL	PRINCE / EMANCIPATION	
TIDAL Premium	evancipation	Emancipation
☆ What's New		Artist: Prince Tracks: 36 Time: 2:59:39
(R) TIDAL Rising		
Playlists	Press of the	
Genres		🕞 Play 🕺 Favorite < Share 👓
☐ Music Videos	# TRACK	ARTIST
Movies & Shows	OVULUME 1	
★ My Music	1 Jam of The Year	Prince
+ Create New Playlist	2 Right Back Here In My Arms	Prince
D Playlists	3 Somebody's Somebody	Prince
 Albums 	4 Get Yo Groove On	Prince
了 Tracks	Distance Courtin' Time	Prince
 □1 Videos	6 Betcha By Golly Wow!	Prince
🔗 Artists	7 We Gets Up	Prince
දිටු Settings	8 White Mansion	Prince
	9 Damned If I Do	Prince
	10 I Can't Make U Love Me	Prince
	11 Mr. Happy	Prince
	12 In This Bed I Scream	Prince
	OLUME 2	
	1 Sex In The Summer	Prince
	2 One Kiss At A Time	Prince
https://listen.tidal.com/album/50766556		



*** TIDAL	PRINCE / MUSICOLOGY	
TIDAL Premium	Musicology	
☆ What's New	Artist: Prince Tracks: 12 Time: 47	7:44
(R) TIDAL Rising	Prince's star faded not long after he won emancipation in 1995, as he abandoned the mainstream so he of	
Playlists	however he liked. Which meant that he effectively Read more	started making records for
Genres	Delay 🛧 Favorite < Share 💀	o o
☐ Music Videos	# TRACK	ARTIST
Movies & Shows	1 Musicology	Prince
🛨 My Music	2 Illusion, Coma, Pimp & Circumstance	Prince
	3 A Million Days	Prince
+ Create New Playlist	4 Life 'o' The Party	Prince
Playlists	5 Call My Name	Prince
Albums	6 Cinnamon Girl	Prince
Tracks	7 What Do U Want Me 2 Do?	Prince
☐1 Videos	8 The Marrying Kind	Prince
🖗 Artists	9 If Eye Was The Man In Ur Life	Prince
② Settings	10 On The Couch	Prince
	11 Dear Mr. Man	Prince
	12 Reflection	Prince

(C) NPG Records

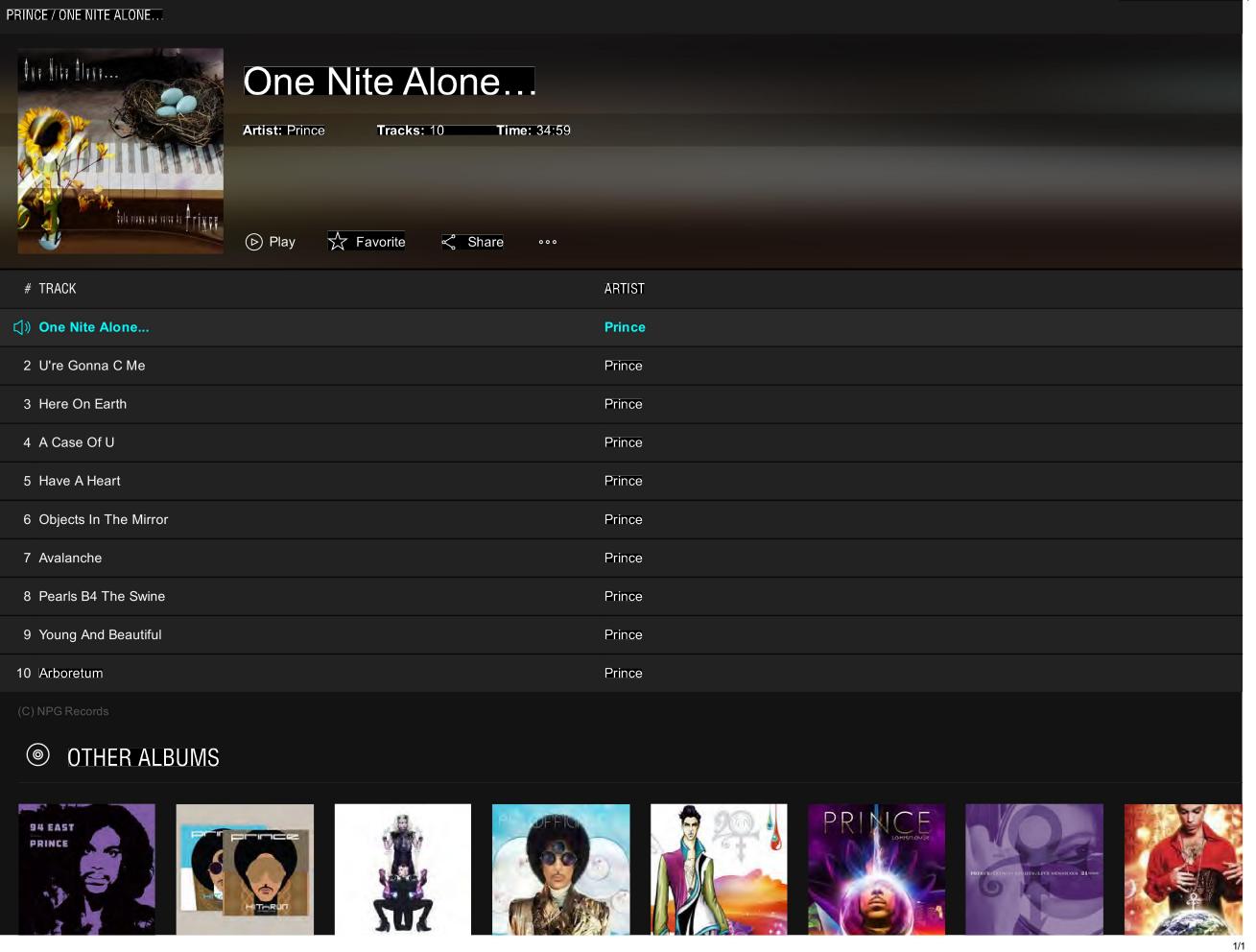
OTHER ALBUMS





10-PR-16-46 CASE 0:16-cv-03909 Docentrent 122 - Fileer 124/15/16 Page 6 of 9

	TIDAL	PRINCE / ONE NITE ALONE		
	TIDAL Premium	Øxe Hite Alexe	One Nite Alone	
Ŵ	What's New		Artist: Prince Tracks: 10 Time: 34:59	
R	TIDAL Rising			
ß	Playlists	tele einer auf veiter by Hrjupp		
\odot	Genres	~	▷ Play X Favorite Share	
	Music Videos	# TRACK		ARTIST
	Movies & Shows	囗》 One Nite Alone		Prince
		2 U're Gonna C Me		Prince
*		3 Here On Earth		Prince
+	Create New Playlist	4 A Case Of U		Prince
Ţ	Playlists	5 Have A Heart		Prince
۲	Albums	6 Objects In The Mirror		Prince
ŗ	Tracks	7 Avalanche		Prince
	Videos	8 Pearls B4 The Swine		Prince
ß	Artists	9 Young And Beautiful		Prince
ද්ටු	Settings	10 Arboretum		Prince



10-PR-16-46 CASE 0:16-cv-03909 Doctainhern €11d2en - Fileed TP19-15/16 Page 7 of 9

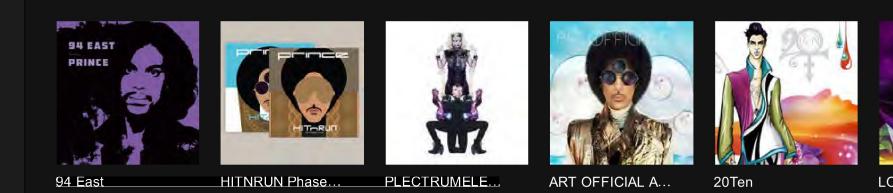
*** TIDAL	PRINCE / ONE NITE ALONE LIVE!	CASE 0.10-CV-05505 Document 1-2" Fried 1		
TIDAL Premium		One Nite Alone Live!		
☐ What's New	One Site Alone	Artist: Prince, The New Power Generation Trac	cks: 27 Time: 2:05:34	
R TIDAL Rising				
Playlists				
Genres		🕞 Play 🕺 Favorite K Share 🚥		
□ Music Videos	# TRACK		ARTIST	
Movies & Shows	VOLUME 1			
★ My Music	↓ 》 Rainbow Children		Prince, The New Power Generation	
+ Create New Playlist	2 Muse 2 The Pharaoh		Prince, The New Power Generation	
Playlists	3 Xenophobia		Prince, The New Power Generation	
Albums	4 Extraordinary		Prince, The New Power Generation	
♬ Tracks	5 Mellow		Prince, The New Power Generation	
☐1 Videos	6 1+1+1 ls 3		Prince, The New Power Generation	
🖉 Artists	7 The Other Side Of The Pillow		Prince, The New Power Generation	
绞 Settings	8 Strange Relationship		Prince, The New Power Generation	
	9 When U Were Mine		Prince, The New Power Generation	
	10 Avalanche		Prince, The New Power Generation	
	VOLUME 2			
	1 Family Name		Prince, The New Power Generation	
	2 Take Me With U		Prince, The New Power Generation	
	3 Raspberry Beret		Prince, The New Power Generation	
https://listen.tidal.com/album/61619994	4 The Everlasting Now		Prince, The New Power Generation	



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***	TIDAL	PRINCE / ONE NITE ALONE THE AFTERSHOW: IT AIN'T OVER			
	TIDAL Premium	The Aftershow: It Ain't Over!	One Nite Alone Th	e Aftershow: It Ai	
Ŵ	What's New		Artist: Prince, The New Power Generation Track	ks: 9 Time: 55:39	
R	TIDAL Rising				
J	Playlists	Prince &			
Ō	Genres	The NPG	🕞 Play 🕺 Favorite < Share 🚥		
	Music Videos	# TRACK		ARTIST	
	Movies & Shows	〇) Joy In Repetition		Prince, The New Power Generation	
		2 We Do This		Prince, The New Power Generation	
*	My Music	3 Medley: Just Friends (Sunny) / I	f You Want Me To Stay	Prince, The New Power Generation	
+	Create New Playlist	4 2 Nigs United 4 West Compton		Prince, The New Power Generation	
J	Playlists	5 Alphabet Street		Prince, The New Power Generation	
۲	Albums	6 Peach		Prince, The New Power Generation	
ŗ.	Tracks	7 Dorothy Parker		Prince, The New Power Generation	
	Videos	8 Girls & Boys		Prince, The New Power Generation	
P	Artists	9 The Everlasting Now (Vamp)		Prince, The New Power Generation	
ţ	Settings	NPG Records			

OTHER ALBUMS



https://listen.tidal.com/album/61620026

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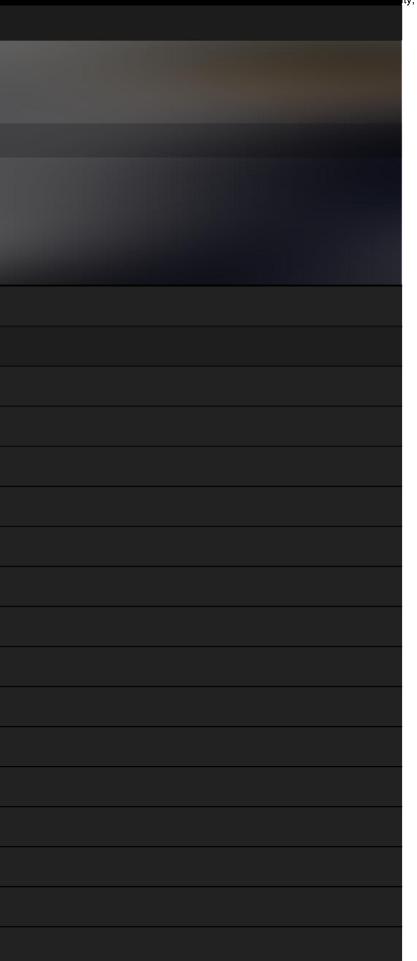
Indigo Nights



Planet Earth

10-PR-16-46 CASE 0:16-cv-03909 BooeUm관여선 2ntaFileCti121/159/16 Page 9 of 9

*** TIDAL	PRINCE / RAVE UN2 THE JOY FANTASTIC	Ŭ
TIDAL Premium	Rave Un2 The Joy Artist: Prince Tracks: 18 Time: 1:09	
 R TIDAL Rising Playlists Genres 	Play ☆ Favorite < Share •••	
□ Music Videos	# TRACK	ARTIST
Movies & Shows	囗》 Rave Un2 The Joy Fantastic	Prince
	2 Undisputed	Prince
★ My Music	3 The Greatest Romance Ever Sold	Prince
+ Create New Playlist	4 Segue #1	Prince
D Playlists	5 Hot Wit U	Prince
Albums	6 Tangerine	Prince
f Tracks	7 So Far, So Pleased	Prince
☐1 Videos	8 The Sun, The Moon And Stars	Prince
🖉 Artists	9 Everyday Is A Winding Road	Prince
Settings 10 Segue #2 Prince		Prince
	11 Man'O'War	Prince
	12 Baby Knows	Prince
	13 I Love U, But I Don't Trust U Anymore	Prince
	14 Silly Game	Prince
	15 Strange But True	Prince
	16 Wherever U Go, Whatever U Do	Prince
https://liston.tidal.com/album/61538/52		



10-PR-16-46

CASE 0:16-cv-03909 Decument 13 Filed 11/15/16 Page 1 of 3

JS 44 (Rev. 08/16)

The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. *(SEE INSTRUCTIONS ON NEXT PAGE OF THIS FORM.)*

I. (a) PLAINTIFFS NPG Records, Inc. and		EFENDANTS c Nation LLC							
 (b) County of Residence of First Listed Plaintiff <u>Carver</u> (EXCEPT IN U.S. PLAINTIFF CASES) (c) Attorneys (Firm Name, Address, and Telephone Number) See Attachment A 			NO At Jor Re 599 Ne	unty of Residence TE: IN LAND CC THE TRACT torneys (<i>If Known</i>) dan W. Siev edSmith LLC 9 Lexington Avenu w York, NY 1002 (2) 521-5400	(IN U.S. PI ONDEMNATI OF LAND IN ue	ed Defendant <u>N</u> LAINTIFF CASES O. ON CASES, USE TH VOLVED.		Γ.	
II. BASIS OF JURISD	ICTION (Place an "X" in	One Box Only)		NSHIP OF P	RINCIPA	L PARTIES (Place an "X" in On	ue Box for	Plaintiff
In DASIS OF JURISDICTION (Place an X in One box Only) In U.S. Government Note a Party In U.S. Government In U.S. Government		<i>(For Di</i> Citizen of Th		TF DEF]1 □ 1	Incorporated <i>or</i> Pri of Business In T		for Defend PTF 🛛 4	dant) DEF 4	
2 U.S. Government Defendant	4 Diversity (Indicate Citizensh)	ip of Parties in Item III)	Citizen of Ar			Incorporated <i>and</i> P of Business In A		□ 5	
			Citizen or Su Foreign Co		3 3	Foreign Nation		6	6
IV. NATURE OF SUIT						for: Nature of S		-	
CONTRACT 110 Insurance 120 Marine 130 Miller Act 140 Negotiable Instrument 150 Recovery of Overpayment & Enforcement of Judgment 151 Medicare Act 152 Recovery of Defaulted Student Loans (Excludes Veterans) 153 Recovery of Overpayment of Vetran's Benefits 160 Stockholders' Suits 190 Other Contract 195 Contract Product Liability 196 Franchise REAL PROPERTY 210 Land Condemnation 220 Foreclosure 230 Rent Lease & Ejectment 240 Torts to Land 245 Tort Product Liability 290 All Other Real Property	TO PERSONAL INJURY 310 Airplane 315 Airplane Product Liability 320 Assault, Libel & Slander 330 Federal Employers' Liability 340 Marine 345 Marine Product Liability 350 Motor Vehicle Product Liability 360 Other Personal Injury 362 Personal Injury - Medical Malpractice CIVIL RIGHTS 440 Other Civil Rights 441 Voting 442 Employment 443 Housing/ Accommodations 445 Amer. w/Disabilities - Other 448 Education	RTS PERSONAL INJURY 365 Personal Injury - Product Liability 367 Health Care/ Pharmaceutical Personal Injury Product Liability 368 Asbestos Personal Injury Product Liability PERSONAL PROPER' 370 Other Fraud 371 Truth in Lending 380 Other Personal Property Damage Product Liability PRISONER PETITION Habeas Corpus: 463 Alien Detainee 510 Motions to Vacate Sentence 530 General	✓ 625 Drug of Prion (Construction) 690 Other 690 Other TY 710 Fair I Act 720 Labor Relat 740 Railw 751 Famili Leav 790 Other S 791 Empli Incom 9791 Empli Incom 1462 Nature 462 Nature 9462 Nature 	LABOR Labor Standards T/Management ions 'ay Labor Act ly and Medical e Act · Labor Litigation oyee Retirement ne Security Act IIGRATION alization Application		SC 157 RTY RIGHTS rights tt mark SECURITY (1395ff) c Lung (923) C/DIWW (405(g)) Title XVI 405(g)) ML TAX SUITS s (U.S. Plaintiff efendant)	 ↓ 480 Consuma ↓ 490 Cable/Sa ↓ 850 Securitie Exchang ↓ 890 Other Sta ↓ 891 Agriculta ↓ 893 Environta ↓ 895 Freedom ↓ Act ↓ 896 Arbitrati ↓ 899 Adminis 	aims Act (31 USC) apportion t apportion t ad Bankin cce er Influend Organizat er Credit t TV ss/Commc ge atutory Au ural Acts of Inform on trative Pre- ew or Ap Decision	ment og ced and ions odities/ ctions atters nation ocedure peal of
$\boxtimes 1$ Original $\square 2$ Rer									
VI. CAUSE OF ACTIC	Brief description of ca		.ct						
VII. REQUESTED IN COMPLAINT:									
VIII. RELATED CASE(S) IF ANY (See instructions): JUDGE					DOCKE	T NUMBER			
DATE 11/15/2016		SIGNATURE OF ATT s/Katherine A. Mc		ORD					
FOR OFFICE USE ONLY									

10-PR-16-46

JS 44 Reverse (Rev. 08/16)

RECEIPT #

INSTRUCTIONS FOR ATTORNEYS COMPLETING CIVIL COVER SHEET FORM JS 44

Authority For Civil Cover Sheet

The JS 44 civil cover sheet and the information contained herein neither replaces nor supplements the filings and service of pleading or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. Consequently, a civil cover sheet is submitted to the Clerk of Court for each civil complaint filed. The attorney filing a case should complete the form as follows:

- Plaintiffs-Defendants. Enter names (last, first, middle initial) of plaintiff and defendant. If the plaintiff or defendant is a government agency, use I.(a)
- County of Residence. For each civil case filed, except U.S. plaintiff cases, enter the name of the county where the first listed plaintiff resides at the **(b)** (c) Attorneys. Enter the firm name, address, telephone number, and attorney of record. If there are several attorneys, list them on an attachment, noting in this section "(see attachment)".
- П. Jurisdiction. The basis of jurisdiction is set forth under Rule 8(a), F.R.Cv.P., which requires that jurisdictions be shown in pleadings. Place an "X" United States plaintiff. (1) Jurisdiction based on 28 U.S.C. 1345 and 1348. Suits by agencies and officers of the United States are included here. United States defendant. (2) When the plaintiff is suing the United States, its officers or agencies, place an "X" in this box. Federal question. (3) This refers to suits under 28 U.S.C. 1331, where jurisdiction arises under the Constitution of the United States, an amendment Diversity of citizenship. (4) This refers to suits under 28 U.S.C. 1332, where parties are citizens of different states. When Box 4 is checked, the citizenship of the different parties must be checked. (See Section III below; NOTE: federal question actions take precedence over diversity cases.)
- III. Residence (citizenship) of Principal Parties. This section of the JS 44 is to be completed if diversity of citizenship was indicated above. Mark this section for each principal party.
- IV. Nature of Suit. Place an "X" in the appropriate box. If there are multiple nature of suit codes associated with the case, pick the nature of suit code that is most applicable. Click here for: Nature of Suit Code Descriptions.
- Origin. Place an "X" in one of the seven boxes. V.

Original Proceedings. (1) Cases which originate in the United States district courts.

Removed from State Court. (2) Proceedings initiated in state courts may be removed to the district courts under Title 28 U.S.C., Section 1441. Remanded from Appellate Court. (3) Check this box for cases remanded to the district court for further action. Use the date of remand as the filing Reinstated or Reopened. (4) Check this box for cases reinstated or reopened in the district court. Use the reopening date as the filing date. Transferred from Another District. (5) For cases transferred under Title 28 U.S.C. Section 1404(a). Do not use this for within district transfers or multidistrict litigation transfers.

Multidistrict Litigation – Transfer. (6) Check this box when a multidistrict case is transferred into the district under authority of Title 28 U.S.C. Multidistrict Litigation - Direct File. (8) Check this box when a multidistrict case is filed in the same district as the Master MDL docket. PLEASE NOTE THAT THERE IS NOT AN ORIGIN CODE 7. Origin Code 7 was used for historical records and is no longer relevant due to changes in statue.

- VI. Cause of Action. Report the civil statute directly related to the cause of action and give a brief description of the cause. Do not cite jurisdictional statutes unless diversity. Example: U.S. Civil Statute: 47 USC 553 Brief Description: Unauthorized reception of cable service
- Requested in Complaint. Class Action. Place an "X" in this box if you are filing a class action under Rule 23, F.R.Cv.P. VII. Demand. In this space enter the actual dollar amount being demanded or indicate other demand, such as a preliminary injunction. Jury Demand. Check the appropriate box to indicate whether or not a jury is being demanded.
- VIII. Related Cases. This section of the JS 44 is used to reference related pending cases, if any. If there are related pending cases, insert the docket numbers and the corresponding judge names for such cases.

Date and Attorney Signature. Date and sign the civil cover sheet.

Attachment A

(c) Attorneys (Firm Name, Address, and Telephone Number)

Katherine A. Moerke (MN #312277) Liz Kramer (MN #325089) Joel D. Leviton (MN # 308687) STINSON LEONARD STREET 150 South Fifth Street, Suite 2300 Minneapolis, MN 55402 Telephone: (612) 335-1500 Facsimile: (612) 335-1657 Email: katie.moerke@stinson.com liz.kramer@stinson.com

EXHIBIT 14

UNITED STATES DISTRICT COURT

DISTRICT OF MINNESOTA

NPG RECORDS, INC. AND NPG MUSIC PUBLISHING, LLC,

Plaintiffs,

vs.

ROC NATION LLC AND ASPIRO AB,

Case No. 16-cv-03909-JRT-FLN

FIRST AMENDED COMPLAINT

JURY TRIAL DEMANDED

Defendants.

COMPLAINT

Plaintiffs NPG Records, Inc. and NPG Music Publishing, LLC ("Plaintiffs"), by and through their attorneys of record, allege as follows for their copyright infringement complaint against Roc Nation LLC and Aspiro AB.

PARTIES

1. Plaintiff NPG Records, Inc. is a Minnesota corporation with its principal place of business at 7801 Audubon Road, Chanhassen, Minnesota 55317 ("NPG Records").

2. Plaintiff NPG Music Publishing, LLC is a California limited liability company with its principal place of business at 7801 Audubon Road, Chanhassen, Minnesota 55317 ("NPG Music Publishing").

3. On information and belief, Defendant Roc Nation LLC is a limited liability company organized and existing under the laws of the State of Delaware, with its principal place of business at 1411 Broadway, 39th Floor, New York, NY 10018.

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4. On information and belief, Defendant Aspiro AB is a private limited liability company organized and existing under the laws of the country of Sweden, with its principal place of business at Stora Varvsgatan 6 A, SE-211 19 Malmo, Sweden.

JURISDICTION AND VENUE

5. This is an action for copyright infringement under the Copyright Act, 17 U.S.C. §§ 101 *et seq.* This Court has subject matter jurisdiction pursuant to 28 U.S.C. §§ 1331, 1332, and 1338.

6. This Court has personal jurisdiction over Roc Nation because it has committed acts of copyright infringement in this judicial district (as well as elsewhere in the United States), offers services in this judicial district, and has appeared and made filings in state court in Minnesota in the pending probate matter for Prince Rogers Nelson.

7. This Court has personal jurisdiction over Aspiro AB because it has committed acts of copyright infringement in this judicial district (as well as elsewhere in the United States), offers services in this judicial district, and is the assignee of rights granted to WiMP Music AS via a contract with entities based in Minnesota.

8. Venue is proper in this judicial district pursuant to 28 U.S.C. §§ 1391(b) and 1391(c) and 28 U.S.C. § 1400(a). Roc Nation and Aspiro AB do business in the District of Minnesota and have engaged in acts of infringement in this judicial district, and otherwise a substantial part of the events or omissions giving rise to this action occurred in this judicial district.

CASE 0:16-cv-03909-JRT-FLN Document 7 Filed 11/22/16 Page 3 of 10 Carver County, MN

ALLEGED FACTS

9. Prince Rogers Nelson, the international superstar and renowned artist known as "Prince," died on April 21, 2016, at Paisley Park in Chanhassen, Minnesota.

A probate matter for the estate of Mr. Nelson (the "Prince Estate") was
 commenced on April 27, 2016 and is pending in Carver County, Minnesota, Court File No.
 10-PR-16-46.

11. Bremer Trust, N.A., was appointed as the Special Administrator of the Prince Estate on April 27, 2016. As the Special Administrator, Bremer Trust has the authority to act on behalf of all business entities owned or controlled by the Prince Estate, including Plaintiffs. April 27, 2016 Order of Formal Appointment of Special Administrator, Carver County Minnesota, Court File No. 10-PR-16-46; *see* Minn. Stat. §§ 524.3-617, 524.3-715. As the Special Administrator, Bremer Trust also has a fiduciary duty to the ultimate heirs of the Prince Estate and to protect the assets of the Prince Estate. *See* Minn. Stat. §§ 524.3-617, 524.3-703. Bremer Trust's appointment as Special Administrator in the Carver County probate matter was extended on October 25, 2016.

12. NPG Records and NPG Music Publishing own copyrights in works written and recorded by Prince Rogers Nelson, including but not limited to the copyrighted works listed in Exhibit A (the "Prince Copyrighted Works").

13. The Prince Copyrighted Works are registered with the United States Copyright Office as musical works and sound recordings.

14. Before Mr. Nelson's death, NPG Records and NPG Music Publishing entered into an agreement entitled Letter of Intent dated August 1, 2015 ("Letter of Intent") with WiMP Music AS ("Tidal").

15. On information and belief, and based on documents Roc Nation has filed in the Carver County probate matter and representations of attorneys for Aspiro AB, Tidal is a music service of, owned by, affiliated with, and/or controlled by Roc Nation and operated by Aspiro AB.

16. Tidal offers music for streaming and purchase and is available at http://tidal.com/us.

17. The Letter of Intent granted an exclusive license to Tidal for exploitation of "the next newly recorded studio LP by the recording artist known as 'Prince' (the 'Prince Album')." Letter of Intent, ¶ 1.

18. The next newly recorded studio LP by the recording artist known as Prince (i.e., the Prince Album) was the album titled "Hit N Run: Phase 1."

19. The exclusivity period for the license granted to Tidal in the Letter of Intent was for 90 days from the effective date of August 1, 2015. Letter of Intent, ¶ 2.

20. Plaintiffs are not aware of any agreements between them and Roc Nation or Aspiro AB or Tidal other than the Letter of Intent.

21. The Letter of Intent noted that "[a]ll rights not specifically granted herein are reserved to [NPG Records and NPG Music Publishing]." Letter of Intent, ¶ 9.

22. The Letter of Intent also contemplated that any further agreements would be formal, written agreements, noting that the "parties agree to discuss in good faith

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collaborating on further creative marketing ideas and participation by Prince in the Tidal platform and Tidal initiatives, it being understood that the actual implementation of all such activities and Prince's participation will be subject to mutual agreement of the parties on all the specifics and logistics of each such activity." Letter of Intent, ¶ 7.

23. Roc Nation and Aspiro AB, through the Tidal service, are exploiting many copyrighted Prince works in addition to the works that comprise the Hit N Run: Phase 1 album.

24. On information and belief, Roc Nation and Aspiro AB, through the Tidal service, began exploiting some of these works after Prince's death and on or about June 7, 2016. *See* <u>https://www.cnet.com/news/tidal-adds-rare-prince-albums-to-catalog</u>.

25. Tidal and Roc Nation and Aspiro AB did not communicate with Bremer Trust or Plaintiffs following Mr. Nelson's death about the decision to expand the offering of Prince works on the Tidal service.

26. Screen shots of Prince works being exploited through the Tidal service are attached as Exhibit B.

27. Following its appointment as Special Administrator, and on multiple occasions, Bremer Trust requested certain information and documents from Tidal and Roc Nation and Aspiro AB pursuant to the Letter of Intent.

28. Bremer Trust's requests included an explanation of any basis for the exploitation of Prince works in addition to the works that comprise the Hit N Run: Phase 1 album.

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29. On October 21, 2016, Roc Nation filed a letter and attachments in the Carver County probate matter claiming that Roc Nation had "various agreements between the relevant parties," both oral and written, that were confirmed by a course of dealing.

30. According to Roc Nation's October 21, 2016 letter, these purported agreements include the grant of rights for Roc Nation to exclusively stream Mr. Nelson's entire catalog on the Tidal service: "Finally, and significantly, the Artist and NPG granted Roc Nation the exclusive rights to stream the Artist's vast and historic catalogue of master recordings and musical compositions exclusively on the TIDAL service."

31. Roc Nation did not include any written agreements or documentation substantiating any purported agreements with its October 21 filing.

32. Roc Nation's October 21 filing admits that Tidal has been distributing Prince's entire catalog of music: "From 2015 until today, the only place the Artist's historic catalogue is and has been available for streaming is on TIDAL."

33. On November 7, 2016, Roc Nation filed a "Statement of Unsecured Claim" in the Carver County probate matter. Like Roc Nation's October 21 letter, the Statement of Unsecured Claim claimed that Roc Nation had a "Written Contract for services and distribution of intellectual property as modified by subsequent writings, course of dealing between the parties and oral understandings and agreements."

34. Roc Nation did not include any written agreements or documentation substantiating any purported agreements with its November 7 filing.

35. On November 11, 2016, Roc Nation filed a "Petition of Roc Nation LLC for Allowance of Claim and Additional Relief" in the Carver County probate matter "for itself

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and on behalf of its affiliated organizations." Like Roc Nation's prior filings in the Carver County probate matter, this Petition claimed that Roc Nation and its affiliated organizations have contractual rights to exploit intellectual property assets of the Prince Estate: "Petitioners also have the right to exclusively stream the Decedent's entire catalogue of music, with certain limited exceptions, on the TIDAL streaming service pursuant to subsequent agreements and a course of dealing between the parties."

36. Roc Nation did not include any written agreements or documentation substantiating any purported agreements with its November 11 filing.

37. Despite the repeated requests from the Special Administrator, and Roc Nation's multiple filings in the Carver County probate matter, Tidal, Aspiro AB, and Roc Nation have not provided any documentation or evidence of any oral and implied agreement granting any of these parties any rights beyond those rights granted in the Letter of Intent.

38. In particular, Roc Nation, Aspiro AB, and Tidal have not provided any documentation substantiating the claim that any of these entities or services have rights to exploit any Prince Copyrighted Works in addition to the works that comprise the Hit N Run: Phase 1 album.

39. For the avoidance of doubt, and without conceding that Roc Nation or Aspiro AB had any license, oral, implied, or otherwise, to exploit any Prince Copyrighted Works in addition to those songs on the Hit N Run: Phase 1 album, Bremer Trust, on behalf of NPG Records, Inc. and NPG Music Publishing, LLC, has terminated, in writing, any such license that might have existed.

40. In accordance with its fiduciary duties and authority, Bremer Trust authorized this action for copyright infringement on behalf of Plaintiffs to protect the assets of the Prince Estate based on the lack of any documentation that Roc Nation or Aspiro AB has any rights to exploit any Prince Copyrighted Works in addition to those works on the Hit N Run: Phase 1 album.

COUNT I

COPYRIGHT INFRINGEMENT OF MULTIPLE WORKS

41. Paragraphs 1 through 40 are incorporated by reference as though fully set forth herein.

42. The Prince Copyrighted Works constitute copyrightable subject matter under the laws of the United States pursuant to 17 U.S.C. § 102(a)(2) (musical works) and 17 U.S.C. § 102(a)(7) (sound recordings).

43. The Prince Copyrighted Works have been registered with the United States Copyright Office as indicated in Exhibit A.

44. Roc Nation, Aspiro AB, and/or their affiliates have reproduced, distributed, and publicly performed, and continue to reproduce, distribute, and publicly perform, Prince Copyrighted Works without permission in violation of Plaintiffs' exclusive rights under 17 U.S.C. § 106.

45. Therefore, Roc Nation and Aspiro AB have committed copyright infringement and continue to infringe the copyrights in the Prince Copyrighted Works under 17 U.S.C. § 501.

46. As a result of such copyright infringement, Plaintiffs have suffered damages in an amount to be determined at trial.

47. On information and belief, Roc Nation and Aspiro AB will continue to infringe the Prince Copyrighted Works unless enjoined by this Court.

48. As a result of Roc Nation and Aspiro AB's copyright infringement of the Prince Copyrighted Works, Plaintiffs are entitled to recover their actual damages and Roc Nation and/or Aspiro AB's profits or, at Plaintiffs' election, statutory damages pursuant to 17 U.S.C. § 504, as well as costs and attorneys' fees pursuant to 17 U.S.C. § 505.

49. As a result of Roc Nation and Aspiro AB's copyright infringement of the Prince Copyrighted Works, Plaintiffs are also entitled to injunctive relief pursuant to 17 U.S.C. § 502.

PRAYER FOR RELIEF

WHEREFORE, Plaintiffs seek relief as follows:

- That the Court enter judgment in favor of Plaintiffs and against Roc Nation and Aspiro AB on Plaintiffs' claim;
- 2. That the Court, during the pendency of this action and permanently, enjoin Roc Nation and Aspiro AB, along with their affiliates, officers, agents, servants, employees, representatives, attorneys, and assigns, and all other persons and entities in active concert or participation with Roc Nation and/or Aspiro AB, from reproducing, distributing, and publicly performing the Prince Copyrighted Works other than those on the Hit N Run: Phase 1 Album.

- 3. That the Court order Roc Nation and Aspiro AB to account for and pay to Plaintiffs their actual damages in the form of Roc Nation and/or Aspiro AB's profits and Plaintiffs' damages, or, at Plaintiffs' election, statutory damages up to the maximum amount allowed for willful infringement of copyright pursuant to 17 U.S.C. § 504.
- 4. That the Court order Roc Nation and Aspiro AB to pay Plaintiffs' attorneys' fees, costs, and expenses pursuant to 17 U.S.C. § 505; and
- 5. That the Court award Plaintiffs any other and further relief as the Court may deem just and proper.

JURY TRIAL DEMAND

Pursuant to Federal Rule of Civil Procedure 38(b), Plaintiffs demand a trial by jury on all issues triable by jury.

Date: November 22, 2016.

By: <u>s/Katherine A. Moerke</u> Katherine A. Moerke (MN #312277) Liz Kramer (MN #325089) Joel D. Leviton (MN #308687) STINSON LEONARD STREET LLP 150 South Fifth Street, Suite 2300 Minneapolis, MN 55402 Telephone: (612) 335-1500 Facsimile: (612) 335-1657 katie.moerke@stinson.com liz.kramer@stinson.com joel.leviton@stinson.com

ATTORNEYS FOR PLAINTIFFS NPG RECORDS, INC. AND NPG MUSIC PUBLISHING, LLC.

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EXHIBIT A

Album Title	Sound Recording Copyright Registration
	Number
3121	SR0000386241
Crystal Ball	SR0000252823
Emancipation	SR0000234366
Musicology	SR0000364418
One Nite Alone	SRu000455582
One Nite AloneLive!	SRu000544511
One Nite AloneThe Aftershow: It	SRu000544513
Ain't Over	
Rave Un2 the Joy Fantastic	SR0000302412

Exhibit A: Prince Copyrighted Works

Song Title	Musical Work Copyright Registration Number
200 Balloons	PA0000426579
319	PAu001989957
1999	PAu000440507/PA0000157921
3121	PA 1-331-125
\$	PA 1-695-105
Back 2 the Lotus	PA 1-695-100
1+1+1 is 3	PA 1 074 929
18 and over	PAU 2026835
2 Nigs united 4 west Compton	PAU 1027481
3 Chains o' gold	PAu001640803
4ever	PA 1-695-123
4 the tears in your eyes	PA0000246463
77 Beverly Park	PA 1-695-107
Adore	PA 339 619
Alphabet Street	PA0000377936/PAu001081257
And God created woman	PAu001640802
Anna Stesia	PAU001081255/PA0000377935
Annie Christian	PA0000130923
Anotherloverholenyohead	PA0000291374
Arrogance	PA0000608656/PAu001640812
Automatic	PAu000440512/PA0000157927
Baby knows	PA 1 199 869
Baby, I'm a Star	PA0000217253/PAu000613663
Be my mirror	PAu001943766
Better with time	PA 1-695-104
Billy Jack Bitch	PAu001989948
Black Sweat	PA 1-331-128

Blood is thicker than time	PAu001683973
Bob George	PAu001027479
Boom	PA 1-695-097
Can't stop this feeling I got	PA0000498325
Chaos and disorder	PA0000808739
Chocolate Box	PA 1-695-115
Colonized Mind	PA 1-695-121
Come	PAu001731961
Condition of the heart	PAU 705004 / PA 255 665
Controversy	PA 130 927
Cream	PA0000549273/PAu001547996
D.M.S.R.	PAu000440511/PA0000157926
Dance 4 Me	PA 1-695-113
Darling Nikki	PA0000217251/PAu000613661
Days of Wild	PAu001989947
Dear Mr. Man	PA 1 270 213
Deconstruction	PA 1 074 930
Delirious	PAU 440509 / PA 157 924
Diamonds and Pearls	PA0000549272/PAu001547993
Digital garden	PA 1 074 924
Dirty Mind	PA0000085232 / PA0000085234
Do Me, Baby	PA0000130925
Do U Lie	PA0000291373
Dreamer	PA 1-695-103
Escape	PA0000381584
Everywhere	PA 1 074 926
Extraordinary	PAU 1678306
Eye No	PA0000377938 / PAu001081258
Family name	PA 1 074 933
Feel Better, Feel Good, Feel	PA 1-695-119
Wonderful	
Free	PAU 440 514 / PA 157 929
Friend, Lover, Sister, Mother/Wife	PA 889 436
From the Lotus	PA 1-695-095
Fury	PA 1-331-132
Get on the boat	PA 1-331-124
Gett off	PA0000535946
Girls and Boys	PA 291 369
Glam Slam	PA0000377934
Gotta broken heart again	PA0000085235
Gotta stop messin' about	PAu000221275
Here	PA 1-695-109

Hot Thing	PA0000339611
Hot Wit' U	PA 1 199 874
Housequake	PA0000339606
How Come U Don't Call Me	PA0000157922
Anymore?	1110000137922
I could never take the place of your	PA0000339616
man	110000337010
I don't wanna stop	PAu000235858
I hate U	PA0000774220/PAu001989956
I love U but I don't trust U anymore	PA 1 199 868
I wanna be your lover	PA 46 506
I wish u heaven	PA0000377932
I wonder U	PA0000291367
I Would Die 4 U	PA0000217252/PAu000613662
If I love u tonight	PAu001280554
If I was the man in ur life	PA 1 270 211
If I Was Your Girlfriend	PA0000339614
Incense and Candles	PA 1-331-129
Insatiable	PAu001547997
International Lover	PA0000157932/PAu000440517
Joy in repetition	PA 498 333
Kiss	PA0000284474
Last December	PA 1 074 935
Last heart	PAu001194197
Letitgo	PA0000731866/PAu001877187
Let's Go Crazy	PA0000217248/
Let's Pretend We're Married	PAu000440510/PA0000157925
Life 'o' the Party	PA 1 270 206
Little Red Corvette	PA0000157923/PAu000440508
Lolita	PA 1-331-126
Love	PA 1-331-130
Love like jazz	PA 1-695-117
Love 1 the 9's	PAu001640805
Man 'O' War	PA 1 199 870
Mail O wai	PA0001074928
Mia Bocca	PA0000332581
Miss Thang	PAu001475737
Money Don't Matter 2 Night	PAu001547998/PA0000549275
Money Don't Matter 2 Night Mr. Happy	PA 844 763
Muse 2 the pharaoh	PA 1 074 923
Musicology	PA 1 270 203
New Power Generation	PA0000498330
INEW FOWER GENERATION	1 110000490330

No more candy 4 u	PA 1-695-099
Ol' Skool Company	PA 1-695-101
On the couch	PA 1 270 212
Paisley Park	PA0000255664
Peach	PA 669 561
Pink Cashmere	PA0000669968/PAu001770697
Planet Earth	PA 1 693 115
Poom Poom	PAu002015091
Pop Life	PA0000255667/PAu000705008
Purple Rain	PA0000217254/PAu000613664
Rainbow children	PA 1 074 922
Raspberry Beret	PA0000255668/PAu000705005
Rave Un2 the joy fantastic	PA 1 199 877
Reflection	PA 1 270 214
Right back here in my arms	PA0000889437
Satisfied	PA 1-331-131
Sex in the Summer	PA 889 436
Sexy Dancer	PA0000064966
She loves me 4 me	PA 1 074 932
Shhh.	PAu001805925
Sign 'o the times	PA0000322108
Silly game	PA 1 199 867
Sleep Around	PA 844 765
So Far, So Pleased	PA 1 199 872
Strange relationship	PA 339 615
Stray's of the world	PAu001803721
Style	PA 844 763
Take me with U	PA 217 249
Tangerine	PA 1 199 873
Te Amo Corazon	PA 1-331-127
The Ballad of Dorothy Parker	PA 339 607
The Beautiful Ones	PAU 613659 / PA 217 250
The Dance	PA 1-331-135
The Everlasting Now	PA 1 074 934
The Marrying Kind	PA 1 270 210
The Ride	PAU 1803728
The Sensual Everafter	PA 1 074 927
The Sun, the Moon and Stars	PA 1 199 871
The Work, Pt. 1	PA 1 074 925
U got the look	PA0000339613
U're gonna C me	PA 1-695-111
Valentina	PA 1-695-106

Venus de Milo	PA0000291371
Wall of Berlin	PA 1-695-092
Wedding Feast	PA 1 074 931
What Do U Want Me To Do	PA 1 270 209
When 2 r in love	PAu001027477 / PA0000377930
When Doves Cry	PA0000220373/PAu000609914
When you were mine	PAU 221268 / PA 85 233
Wherever U go, whatever U do	PA 1 199 865
Why you wanna treat me so bad?	PA0000064965
With this tear	PAu001595545
Wouldn't you love to love me	PAu001013738
Yo Mister	PAu001106275

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EXHIBIT B

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*** TIDAL	PRINCE / 3121	
TIDAL Premium		3121
Mhat's New		Artist: Prince Tracks: 12 Time: 53:38
R TIDAL Rising		Musicology was a self-conscious comeback, a record designed to return <u>Prince</u> to the spotlight and the charts, and it worked: even if it spawned no big hits, the
Playlists	5121	2004 LP became his first album to crack the Billboard Top Ten since 1995's Read more
Genres		🕞 Play 🛣 Favorite 😪 Share 🚥
□1 Music Videos	# TRACK	ARTIST
Movies & Shows	1 3121	Prince
🛨 My Music	2 Lolita	Prince
	3 Te Amo Corazón	Prince
+ Create New Playlist	4 Black Sweat	Prince
D Playlists	5 Incense and Candles	Prince
Albums	6 Love	Prince
月 Tracks	7 Satisfied	Prince
□1 Videos	8 Fury	Prince
🖉 IArtists	9 The Word	Prince
铰 Settings	10 Beautiful, Loved and Blessed	Prince
	11 The Dance	Prince
	12 Get On the Boat	Prince
	(C) NPG Records	

(C) NPG Records

OTHER ALBUMS





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***	TIDAL	PRINCE / CRYSTAL BALL		
	TIDAL Premium		Crystal Ball	
Ŵ	What's New	CITYSIA	Artist: Prince Tracks: 30 Time: 2:29:12	
R	TIDAL Rising	hat		
ſ	Playlists			
\odot	Genres		🕞 Play 🖌 Favorite < Share 👓	
	Music Videos	# TRACK		ARTIST
	Movies & Shows	VOLUME 1		
\star	My Music	幻》 Crystal Ball		Prince
+	Create New Playlist	2 Dream Factory		Prince
J	Playlists	3 Acknowledge Me		Prince
	Albums	Ripopgodazippa		Prince
	Tracks	5 Love Sign (Shock G.'s Silky Rei	mix)	Nona Gaye, Prince
	Videos	6 Hide The Bone		The New Power Generation
ß	Artists	7 2morrow		Prince
රා	Settings	8 So Dark		Prince
		9 Movie Star		Prince
		10 Tell Me How U Want 2 B Done		Prince, The New Power Generation
		VOLUME 2		
		1 Interactive		Prince
		2 Da Bang		Prince
		3 Calhoun Square		Prince
		4 What's My Name		Prince
https://listen	.tidal.com/album/61495889			



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*** TIDAL	PRINCE / EMANCIPATION			
TIDAL Premium	evancipation	Emancipatio	n	
☐ What's New		Artist: Prince Tracks: 36	Time: 2:59:39	
R TIDAL Rising				
Playlists	Para a fr			
Genres		Play	Share •••	
☐ Music Videos	# TRACK		ARTIST	
Movies & Shows	OLUME 1			
★ My Music	1 Jam of The Year		Prince	
+ Create New Playlist	2 Right Back Here In My Arms		Prince	
Playlists	3 Somebody's Somebody		Prince	
 Albums 	4 Get Yo Groove On		Prince	
 ♬ Tracks	Direction Courtin' Time		Prince	
☐1 Videos	6 Betcha By Golly Wow!		Prince	
🖉 Artists	7 We Gets Up		Prince	
贷 Settings	8 White Mansion		Prince	
	9 Damned If I Do		Prince	
	10 I Can't Make U Love Me		Prince	
	11 Mr. Happy		Prince	
	12 In This Bed I Scream		Prince	
	VOLUME 2			
	1 Sex In The Summer		Prince	
https://listen.tidal.com/album/50766556	2 One Kiss At A Time		Prince	



***	TIDAL	PRINCE / MUSICOLOGY		
	TIDAL Premium		Musicology	
Ŵ	What's New	masicology	Artist: Prince Tracks: 12 Time: 47:44	
R	TIDAL Rising	A BRA	Prince's star faded not long after he won emancipation from in 1995, as he abandoned the mainstream so he could for	llow his whims
Ţ	Playlists		however he liked. Which meant that he effectively started <i>Read more</i>	making records for
0	Genres		🕞 Play 🛣 Favorite 😪 Share 🚥	
	Music Videos	# TRACK		ARTIST
Ξ	Movies & Shows	1 Musicology		Prince
*	My Music	2 Illusion, Coma, Pimp & Circumst	ance	Prince
~		3 A Million Days		Prince
+	Create New Playlist	4 Life 'o' The Party		Prince
ſ	Playlists	5 Call My Name		Prince
۲	Albums	6 Cinnamon Girl		Prince
F.	Tracks	7 What Do U Want Me 2 Do?		Prince
	Videos	8 The Marrying Kind		Prince
P	Artists	9 If Eye Was The Man In Ur Life		Prince
රි	Settings	10 On The Couch		Prince
		11 Dear Mr. Man		Prince
		12 Reflection		Prince

(C) NPG Records

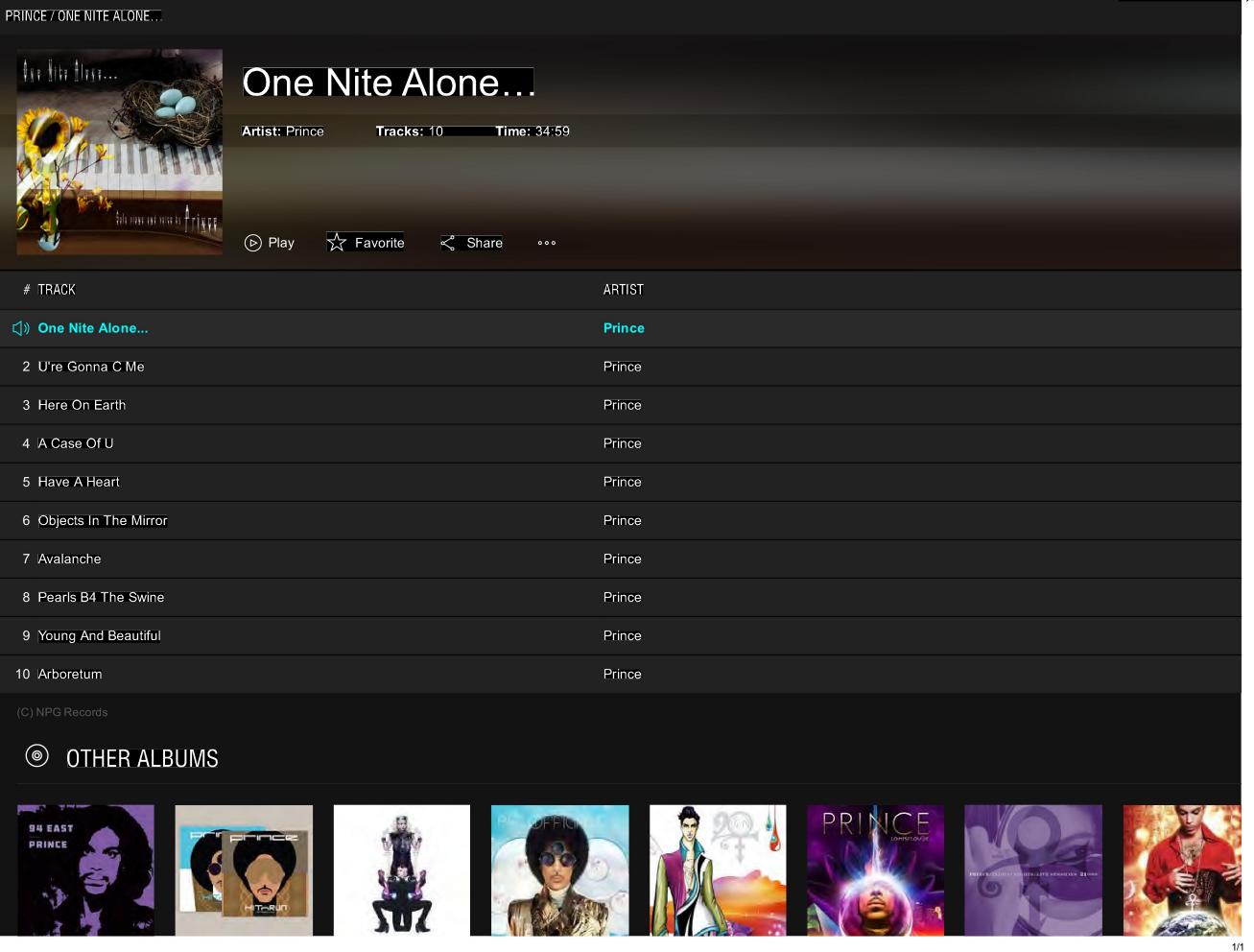
OTHER ALBUMS





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*** TIDAL	PRINCE / ONE NITE ALONE	5
TIDAL Premium	One Nite Alone	
☆ What's New	Artist: Prince Tracks: 10 Time: 34:59	
(R) TIDAL Rising		
Playlists	tule einen und veller ba fritz pe	
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Movies & Shows	囗》 One Nite Alone	Prince
	2 U're Gonna C Me	Prince
★ My Music	3 Here On Earth	Prince
+ Create New Playlist	4 A Case Of U	Prince
D Playlists	5 Have A Heart	Prince
Albums	6 Objects In The Mirror	Prince
☐ Tracks	7 Avalanche	Prince
☐1 Videos	8 Pearls B4 The Swine	Prince
🖉 Artists	9 Young And Beautiful	Prince
铰 Settings	10 Arboretum	Prince



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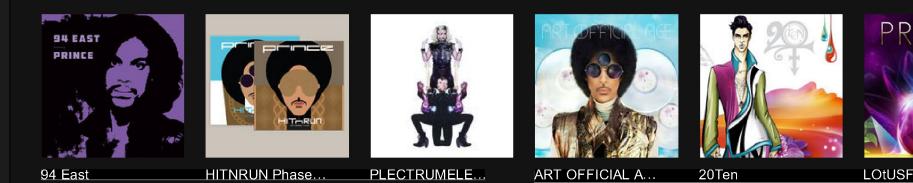
*** TIDAL	PRINCE / ONE NITE ALONE LIVE!		5
TIDAL Premium	One Stite Alone	One Nite Alone Liv	/e!
☆ What's New		Artist: Prince, The New Power Generation Trac	ks: 27 Time: 2:05:34
R TIDAL Rising			
Playlists			
Genres		🕞 Play 😾 Favorite < Share 👓	
□1 Music Videos	# TRACK		ARTIST
Movies & Shows	VOLUME 1		
★ My Music	〇》 Rainbow Children		Prince, The New Power Generation
+ Create New Playlist	2 Muse 2 The Pharaoh		Prince, The New Power Generation
D Playlists	3 Xenophobia		Prince, The New Power Generation
 Albums 	4 Extraordinary		Prince, The New Power Generation
「」 Tracks	5 Mellow		Prince, The New Power Generation
 □1 Videos	6 1+1+1 ls 3		Prince, The New Power Generation
🔗 Artists	7 The Other Side Of The Pillow		Prince, The New Power Generation
දිටූ Settings	8 Strange Relationship		Prince, The New Power Generation
	9 When U Were Mine		Prince, The New Power Generation
	10_Avalanche		Prince, The New Power Generation
	VOLUME 2		
	1 Family Name		Prince, The New Power Generation
	2 Take Me With U		Prince, The New Power Generation
	3 Raspberry Beret		Prince, The New Power Generation
https://listen.tidal.com/album/61619994	4 The Everlasting Now		Prince, The New Power Generation



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***	TIDAL	PRINCE / ONE NITE ALONE THE AFTERS	HOW: IT AIN'T OVER		
	TIDAL Premium	one wite Alone It Ain't Over!	One Nite Alone T	he Aftershow: It A	Ai
Ŵ	What's New		Artist: Prince, The New Power Generation Tra	acks: 9 Time: 55:39	
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\odot	Genres	The NHG	🕞 Play 🕂 Favorite < Share 👓		
	Music Videos	# TRACK		ARTIST	
	Movies & Shows	幻 ୬ Joy In Repetition		Prince, The New Power Generation	
	My Music	2 We Do This		Prince, The New Power Generation	
		3 Medley: Just Friends (Sunny) / I	f You Want Me To Stay	Prince, The New Power Generation	
+	Create New Playlist	4 2 Nigs United 4 West Compton		Prince, The New Power Generation	
J	Playlists	5 Alphabet Street		Prince, The New Power Generation	
۲	Albums	6 Peach		Prince, The New Power Generation	
F.	Tracks	7 Dorothy Parker		Prince, The New Power Generation	
	Videos	8 Girls & Boys		Prince, The New Power Generation	
P	Artists	9 The Everlasting Now (Vamp)		Prince, The New Power Generation	
ŝ	Settings	NPG Records			

NPG Records

OTHER ALBUMS



https://listen.tidal.com/album/61620026

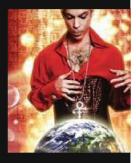
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Indigo Nights



Planet Earth

10-PR-16-46 CASE 0:16-cv-03909-JRT-FENe UDOCUMYEnta9证2 Pr序神经中组/22/16 Page 9 of 9

*** TIDAL	PRINCE / RAVE UN2 THE JOY FANTASTIC		
TIDAL Premium	Rave Un2 The Joy	Fantastic	
∩ What's New	Artist: Prince Tracks: 18 Time: 1:09:52		
R TIDAL Rising			
Playlists			
Genres	De Play 🔀 Favorite 😪 Share 👓		
☐ Music Videos	# TRACK	ARTIST	
Movies & Shows	囗》 Rave Un2 The Joy Fantastic	Prince	
★ My Music	2 Undisputed	Prince	
	3 The Greatest Romance Ever Sold	Prince	
+ Create New Playlist	4 Segue #1	Prince	
Playlists	5 Hot Wit U	Prince	
Albums	6 Tangerine	Prince	
♬ Tracks	7 So Far, So Pleased	Prince	
	8 The Sun, The Moon And Stars	Prince	
🖉 Artists	9 Everyday Is A Winding Road	Prince	
绞} Settings	10 Segue #2	Prince	
	11 Man'O'War	Prince	
	12 Baby Knows	Prince	
	13 I Love U, But I Don't Trust U Anymore	Prince	
	14 Silly Game	Prince	
	15 Strange But True	Prince	
	16 Wherever U Go, Whatever U Do	Prince	

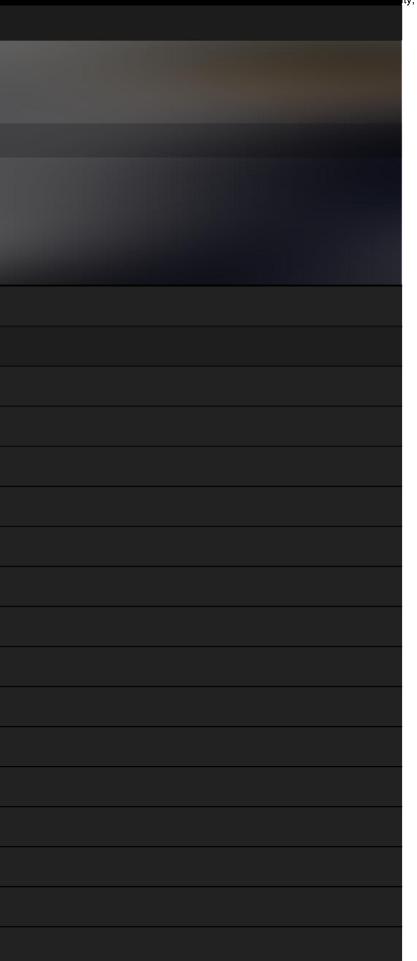


EXHIBIT 15

STATE OF MINNESOTA		FIRST JUDICIAL DISTRICT
		DISTRICT COURT
COUNTY OF CARVER	FLED	PROBATE DIVISION
Estate of	MAY 1 0 2016	Court File No. 10-PR-16-46
Prince Rogers Nelson,	CARVER COUNTY COURTS	AMENDED NOTICE OF FORMAL
Decedent		APPOINTMENT OF SPECIAL
		ADMINISTRATOR
	AND N	OTICE TO CREDITORS (INTESTATE)

Notice is given that Bremer Trust, National Association, whose address is 1100 West St. Germain Street, St. Cloud, Minnesota 56301, was formally appointed as Special Administrator of the above-entitled estate on an emergency basis by order dated and filed April 26, 2016. Following a hearing on May 2, 2016, this Court confirmed the appointment of the Special Administrator.

Any objections to the appointment of the Special Administrator must be filed with this Court and will be heard by the Court after filing an appropriate petition and proper notice of the hearing.

Notice is also given that (subject to Minn. Stat. 524.3-801) all creditors having claims against the Estate are required to present the claims to the Special Administrator or to the Court Administrator within four months after the date of this Notice or the claims will be barred.

Dated: May 10, 2016 (COURT SEAL)

BY THE COURT

Kev)n W. Eide Judge of District court

Attorney for Special Administrator Laura E. Krishnan (#311698) Natasha A. Robertson (#0395590) Stinson Leonard Street, LLP 150 South Fifth Street, Suite 2300 Minneapolis, MN, 55402 Telephone: (612) 335-1500 FAX: (612) 335-1657 Email: laura.krishnan@stinson.com; natasha.robertson@stinson.com