

EXHIBIT D



State of Minnesota
Office of the Minnesota Secretary of State
Steve Simon

UCC Filing Acknowledgement

Filing Type: Original Filing - UCC Financing Statement

Original Filing Number: 1108720400054

File Date: 10/7/2019

File Time: 5:00 PM

Lapse Date: 10/7/2024

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DEBTOR INFORMATION

INDIVIDUAL'S SURNAME
BAKER

FIRST PERSONAL NAME
OMARR

MAILING ADDRESS

CITY

STATE

POSTAL CODE COUNTRY

SECURED PARTY INFORMATION

ORGANIZATION'S NAME
COZEN O'CONNOR

MAILING ADDRESS
33 S SIXTH STR #3800

CITY
MINNEAPOLIS

STATE
MN

POSTAL CODE COUNTRY
55402 USA

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For questions regarding this acknowledgement or questions regarding payment, please contact the filing Office listed below.

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Uniform Commercial Code
MN Retirement Systems Building
60 Empire Drive #100
Saint Paul, MN 55103-2141
Phone: 651-296-2803 or 1-877-551-6767 Minnesota Relay Service: 711
E-mail: ucc.dept@state.mn.us



Filing Number: 1108720400054
Date: 10/07/2019
Time: 5:00 PM
STATE OF MINNESOTA
Office: Office of the Minnesota
Secretary of State

UCC FINANCING STATEMENT
FOLLOW INSTRUCTIONS

A. NAME & PHONE OF CONTACT AT FILER (optional) Steven H. Silton
B. E-MAIL CONTACT AT FILER (optional)
C. SEND ACKNOWLEDGMENT TO: (Name and Address) Cozen O'Connor 33 South Sixth Street Suite 3800 Minneapolis, MN 55402

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

1. DEBTOR'S NAME: Provide only one Debtor name (1a or 1b) (use exact, full name; do not omit, modify, or abbreviate any part of the Debtor's name); if any part of the Individual Debtor's name will not fit in line 1b, leave all of item 1 blank, check here and provide the Individual Debtor information in item 10 of the Financing Statement Addendum (Form UCC1Ad)

1a. ORGANIZATION'S NAME				
OR				
1b. INDIVIDUAL'S SURNAME Baker	FIRST PERSONAL NAME Omarr	ADDITIONAL NAME(S)/INITIAL(S)	SUFFIX	
1c. MAILING ADDRESS	CITY	STATE	POSTAL CODE	COUNTRY

2. DEBTOR'S NAME: Provide only one Debtor name (2a or 2b) (use exact, full name; do not omit, modify, or abbreviate any part of the Debtor's name); if any part of the Individual Debtor's name will not fit in line 2b, leave all of item 2 blank, check here and provide the Individual Debtor information in item 10 of the Financing Statement Addendum (Form UCC1Ad)

2a. ORGANIZATION'S NAME				
OR				
2b. INDIVIDUAL'S SURNAME	FIRST PERSONAL NAME	ADDITIONAL NAME(S)/INITIAL(S)	SUFFIX	
2c. MAILING ADDRESS	CITY	STATE	POSTAL CODE	COUNTRY

3. SECURED PARTY'S NAME (or NAME of ASSIGNEE of ASSIGNOR SECURED PARTY): Provide only one Secured Party name (3a or 3b)

3a. ORGANIZATION'S NAME Cozen O'Connor				
OR				
3b. INDIVIDUAL'S SURNAME	FIRST PERSONAL NAME	ADDITIONAL NAME(S)/INITIAL(S)	SUFFIX	
3c. MAILING ADDRESS 33 South Sixth Street, Suite 3800	CITY Minneapolis	STATE MN	POSTAL CODE 55402	COUNTRY USA

4. COLLATERAL: This financing statement covers the following collateral:
See Attached Attorney's Lien.

5. Check <u>only</u> if applicable and check <u>only</u> one box: Collateral is <input type="checkbox"/> held in a Trust (see UCC1Ad, item 17 and Instructions) <input checked="" type="checkbox"/> being administered by a Decedent's Personal Representative	
6a. Check <u>only</u> if applicable and check <u>only</u> one box: <input type="checkbox"/> Public-Finance Transaction <input type="checkbox"/> Manufactured-Home Transaction <input type="checkbox"/> A Debtor is a Transmitting Utility	
6b. Check <u>only</u> if applicable and check <u>only</u> one box: <input type="checkbox"/> Agricultural Lien <input type="checkbox"/> Non-UCC Filing	
7. ALTERNATIVE DESIGNATION (if applicable): <input type="checkbox"/> Lessee/Lessor <input type="checkbox"/> Consignee/Consignor <input type="checkbox"/> Seller/Buyer <input type="checkbox"/> Bailee/Bailor <input type="checkbox"/> Licensee/Licenser	
8. OPTIONAL FILER REFERENCE DATA: Minnesota	

10-PR-16-46

Filing Number: 1108720400054

Filed in District Court
State of Minnesota
10/7/2019 8:56 AMSTATE OF MINNESOTA
COUNTY OF CARVERDISTRICT COURT
FIRST JUDICIAL DISTRICT
PROBATE DIVISION

In Re:

Court File No.: 10-PR-16-46
Judge: Kevin W. EideEstate of Prince Rogers Nelson,
Decedent,**NOTICE OF ATTORNEYS' LIEN**

TO: Clerk of above-named Court, Omarr Baker, and Comerica Bank & Trust, N.A., as Personal Representative of Estate of Prince Rogers Nelson (through its counsel of record, Mark W. Greiner, Esq./Joseph J. Cassioppi, Fredrikson & Byron, P.A., 200 South Sixth Street, Suite 4000, Minneapolis, Minnesota 55402-1425).

NOTICE IS HEREBY GIVEN that pursuant to Minn. Stat. §§ 481.13 and 525.491, the law firm of Cozen O'Connor, 33 South Sixth Street, Suite 3800, Minneapolis, MN 55402, hereby claims and intends to hold a lien upon Omarr Baker's interest in the Estate of Prince Rogers Nelson, including any right to receive payments, property, proceeds, money or value of any kind, for agreed compensation for such services as were rendered by Cozen O'Connor respecting Omarr Baker's interest in the Estate. This lien is based upon legal services rendered and costs incurred and advanced by Cozen O'Connor to Omarr Baker in the representation of his interests in the above-entitled matter from June 23, 2016 through June 18, 2018. As of this date, the unpaid legal services and costs total \$953,007.50 exclusive of any costs of collection. This amount does not include any fees and costs that Cozen O'Connor was awarded from the Court for work that benefitted the Estate. This notice is also being filed with the Minnesota Secretary of State.

ACKNOWLEDGMENT

Steven H. Sifton, being duly sworn under oath, says that he is a Shareholder of Cozen O'Connor; that during all the time in the foregoing instrument stated that he was, and still is, an

10-PR-16-46

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State of Minnesota
10/7/2019 8:56 AM

attorney at law authorized to practice in the State of Minnesota; that he knows the contents of the foregoing instrument, and that the same is true of his own knowledge, and that he executes the same on behalf of Cozen O'Connor.

Dated: October 4, 2019

COZEN O'CONNOR

s/ Steven H. Siltan

Steven H. Siltan (#260769)

33 South Sixth Street

Suite 3800

Minneapolis, MN 55402

Telephone: (612) 260-9000

ssiltan@cozen.com