

EXHIBIT 5



Jordan W. Siev
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Reed Smith LLP
599 Lexington Avenue
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October 17, 2016

By Email (laura.halferty@stinson.com)

Laura Halferty
Stinson Leonard Street
150 South Fifth Street
Suite 2300
Minneapolis, MN 55402

Re: Roc Nation LLC as Exclusive Rights Holder to Assets of the Estate of Prince Rogers Nelson

Dear Ms. Halferty:

We write on behalf of Roc Nation LLC ("Roc Nation") in connection with the administration of the musical assets (the "Musical Assets") of the estate of Prince Rogers Nelson (the "Artist") by the Bremer Trust, National Association ("Bremer"). As Roc Nation previously advised Bremer by letter dated May 27, 2016 (the "May 27 Letter"), a copy of which is enclosed for your convenience, Roc Nation, and its licensors, licensees and assigns, controls and administers certain specific rights in connection with various Artist Musical Assets. Roc Nation does so pursuant to agreements between the relevant parties including, but not limited to, that certain exclusive distribution agreement between Roc Nation's licensors, Aspiro AB as assignee of WiMPMusic SA., on the one hand, and NPG Records, Inc. ("NR") and NPG Music Publishing, LLC (together with NR, "NPG"), on the other hand, dated August 1, 2015 (as thereafter amended, the "Distribution Agreement") in connection with various Artist master recordings and other intellectual property rights. The Distribution Agreement provides that the term of the Distribution Agreement is the longer of three years or full recoupment of monies advanced under the Distribution Agreement. As neither of these milestones has yet occurred, the Distribution Agreement remains in full force and effect.

By way of background, and as highlighted in the May 27 Letter, Roc Nation and NPG have enjoyed a successful working relationship that has included, among other things, the Distribution Agreement, the distribution of two of Artist's albums entitled "HITnRUN Phase One" and "HITnRUN Phase 2," one album by the artist known as Judith Hill entitled "Back In Time," and the additional involvement of Roc Nation in various aspects of the Artist's catalogue and career, including the exclusive streaming of Artist's musical and audiovisual works on the TIDAL artist-owned music streaming service. As such, Roc Nation has always believed that it is uniquely qualified to serve as the manager and administrator of the Musical Assets in light of its substantial and productive history with NPG, and especially given the artistic and professional alignment between the Artist and the principals of Roc Nation.

After submission of the May 27 Letter, counsel for Roc Nation sought to follow up with Bremer and Mr. Londell McMillan, and spoke with a Bremer executive in July 2016, at which time counsel was advised that Bremer was still in the early planning stages for administration of the Musical Assets.

ASU/DHABI • ATHENS • BEIJING • CENTURY CITY • CHICAGO • DUBAI • FRANKFURT • HONG KONG • HOUSTON • KAZAKHSTAN • LONDON • LOS ANGELES • MUNICH • NEW YORK • PARIS
PHILADELPHIA • PITTSBURGH • PRINCETON • RICHMOND • SAN FRANCISCO • SHANGHAI • SILICON VALLEY • SINGAPORE • TYSONS • WASHINGTON, D.C. • WILMINGTON

Laura Halferty
October 17, 2016
Page 2

ReedSmith

Bremer further advised that it expected to contact Roc Nation when the estate's administration of the Musical Assets was under more immediate consideration, which now appears to be the case based on recent press reports. Roc Nation looks forward to being given the ability to present on its vision for the Musical Assets including, among other things, (i) its prior personal relationship with the Artist; (ii) its unparalleled track record in the music business for championing artist's rights; and (iii) its rich history of obtaining record breaking deals for its artists – all of which would be of great benefit to the estate and its long term financial plan.

As noted above, it has come to our attention through various media publications (*see e.g.*, <http://www.billboard.com/articles/news/7541106/prince-music-vault-shopped-purple-rain-deluxe-edition>) that Bremer, and certain advisers acting on behalf of Bremer, actively have been seeking to exploit the Musical Assets, and may be consummating agreements to that end on an imminent basis. While we are hopeful that no such deals will be reached before Roc Nation has the ability to present its overall qualifications and strategy for maximizing the value of the Musical Assets, we also trust that Bremer will not enter into any agreements that infringe upon the exclusive distribution rights and other rights held by Roc Nation, or its assigns or licensees, which would necessitate that Roc Nation (and the other interested parties) take all appropriate action to fully enforce its rights. We are, of course, available to discuss any such prospective agreements with you in order to avoid any such potential infringement on Roc Nation's exclusive rights.

Finally, please note that we have copied all counsel for the Artist's heirs on this communication, including Holland & Knight which we understand to have recently appeared in this matter on behalf of Tyka Nelson.

We look forward to hearing from you concerning the above.

Very truly yours,

/s/ Jordan W. Siev
Jordan W. Siev

cc: Edward Diaz (Edward.diaz@hklaw.com)
Vivian Thoreen (vivian.thoreen@hklaw.com)
Tom Kane (TKane@cozen.com)
Justin Bruntjen (justin@b2lawyers.com)
Adam Gislason (agislason@lommen.com)

EXHIBIT 6



October 19, 2016

Jordan W. Siev
ReedSmith LLP
599 Lexington Avenue
New York, NY 10022-7650
email: jsiev@reedsmith.com

RE: In re the Estate of Prince Rogers Nelson
Court File No. 10-PR-16-46

Dear Mr. Siev:

I write on behalf of the Special Administrator of the Estate of Prince Rogers Nelson in response to your letter dated October 17, 2016 on behalf of Roc Nation LLC. At this time, the Special Administrator is not interested in entering into any agreements with Roc Nation to exploit any of the intellectual property assets of the Estate.

In addition, your letter references purported "agreements between the relevant parties" including a "certain exclusion distribution agreement" between Aspiro AB and NPG Records, Inc. and NPG Music Publishing, LLC dated August 1, 2015. We are not aware of any such agreement or any other agreements between any entities of the Estate and Roc Nation or Aspiro or related entities other than the Letter of Intent between WiMP Music ("Tidal") and NPG Records, Inc./NPG Music Publishing, LLC dated August 1, 2015 (attached) and you have not produced any such agreements, despite repeated requests for documents from the Special Administrator. Further, there are several points and issues with respect to the Letter of Intent:

- Paragraph 1 of the Letter of Intent provides that the agreement is for only one album, "the next newly recorded studio LP," which was Hit N Run phase 1 ("the RN Album"). Yet Tidal released 15 albums on June 7, 2016 without even seeking let alone being granted approval from the Estate: <https://www.cnet.com/news/tidal-adds-rare-prince-albums-to-catalog/>. Thus, the Special Administrator will seek additional information regarding this unauthorized use and sale of copyrighted materials, and the Special Administrator reserves all rights regarding remedies if for this infringement.
- Paragraph 2 of the Letter of Intent limits the exclusivity of the agreement to 90 days such that, after November 1, 2015, even the RN Album was no longer exclusive to Tidal.
- Paragraph 3 of the Letter of Intent provides for a specific payment schedule, but despite repeated requests by the Special Administrator, Tidal has failed to provide documentation of the final payment of \$750,000.00 that is past due.

Jordan W. Siev
October 19, 2016
Page 2

- Paragraph 4 of the Letter of Intent provides that Tidal will pay (50%) of Tidal's "net proceeds" from the RN Album proceeds to NPG (NPG share), but Tidal has failed to provide documentation of such net proceeds or the payments of royalties.
- Paragraph 6 of the Letter of Intent provides that Tidal has a duty to account to NPG on a quarterly basis, but Tidal has failed to do so, even after repeated requests for information by the Special Administrator as detailed below.

Finally, as noted above, Roc Nation has not provided the information that the Special Administrator has repeatedly requested since May 2016. After Traci Bransford notified Roc Nation of Stinson Leonard Street's representation of Bremer Trust as the Special Administrator of the Estate of Prince Rogers Nelson in May of 2016, the Special Administrator's repeated requests for information include the following:

- August 17, 2016 e-mail from Traci Bransford requesting specific documents: "an accounting of all payments made to NPG Records, NPG Music Publishing and /or Mr. Nelson pursuant to paragraph (3) three of the attached TIDAL agreement" and "any other contractual agreement between WIMP Music and/ or ROC Nation and Mr. Nelson and/ or Mr. Nelson's related entities."
- August 26, 2016 e-mail from Traci Bransford following up on August 17, 2016 request.
- August 31, 2016 e-mail from Traci Bransford asking for a call regarding outstanding request for documents. (Todd Mumford responded that "TIDAL's accounting is handled by its team in Oslo, Norway. We are working with TIDAL to obtain the information you have requested and will be in touch next week." The information, however, was never provided.)
- August 31, 2016 e-mail from L. Londell McMillan, asking about the accounting requested for Bremer Trust.

Accordingly, we will proceed to serve a subpoena to obtain the information requested by the Special Administrator. Please confirm that you will accept service of a subpoena on behalf of Roc Nation. Finally, we remind you that Roc Nation should not attempt to unlawfully interfere with the Estate's rights to negotiate and enter into any entertainment agreements to exploit intellectual property assets of the Estate.

Sincerely,

Stinson Leonard Street LLP



Laura E. Halferty

Cc: L. Londell McMillan, Charles Koppelman, and Counsel for Non-Excluded Heirs

EXHIBIT 7

Pearson, Rhonda

From: "Halferty, Laura"
Sent: Friday, October 21, 2016 10:48 AM
To: Kramer, Liz; Crosby, David; Bransford, Traci
Subject: FW: In Re: Estate of Prince Rogers Nelson; Court File No. 10-PR-16-46
Attachments: 2016.10.21 Correspondence to Judge Eide.pdf; Exhibit A.PDF; Exhibit B.PDF; Exhibit C.PDF; Exhibit D.PDF; Exhibit E.PDF

From: Sue Biljan [<mailto:sbiljan@rodnejmason.com>]
Sent: Friday, October 21, 2016 10:27 AM
To: 1stjudgeeidechambers@courts.state.mn.us; kevin.eide@courts.state.mn.us
Cc: Rod Mason; jsiev@reedsmith.com; Hoffman, Christopher P.; Edward.diaz@hkllaw.com; vivian.thoreen@hkllaw.com; tkane@cozen.com; justin@b2lawyers.com; ken@lommen.com; agislason@lommen.com; Halferty, Laura
Subject: In Re: Estate of Prince Rogers Nelson; Court File No. 10-PR-16-46

Attached for the court's consideration is correspondence relating to a claim of a creditor, Roc Nation LLC.

Sue Biljan

Paralegal

Rodney J. Mason, Ltd.

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332 Minnesota Street

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(651) 224-5343

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EXHIBIT 8

RODNEY J. MASON, LTD. | ATTORNEYS

332 Minnesota Street Suite W-3070 St. Paul, Minnesota 55101 T: 651.224.5343 F: 651.224.5711 www.rodnejmason.com | AT LAW

October 21, 2016

Via Email and Odyssey Efile and Eservice

Rodney J. Mason^{†*}
Kimberly A. Mason[†]
Kirstin E. Helmers
Regina D. Sabbia-
Karin Ciano[†]

Susan M. Biljan
Paralegal

The Honorable Kevin W. Eide
District Court
State of Minnesota, County of Carver
First Judicial District, Probate Division
604 East 4th Street
Chaska, MN 55318

**Re: Roc Nation LLC as Exclusive Rights Holder to Assets
of the Estate of Prince Rogers Nelson, Ct. File 10-PR-16-46**

Dear Judge Eide:

We, in association with Reed Smith LLP, are the attorneys for Roc Nation LLC, and its relevant licensors, licensees, assignors and assignees (individually and/or collectively, "Roc Nation"), and write in connection with the administration of the estate of Prince Rogers Nelson (the "Artist"). Specifically, Roc Nation controls exclusive distribution, exploitation and other rights in connection with certain musical assets purportedly held by the Artist's estate (the "Musical Assets"). We write now because our client is informed that Bremer Trust, National Association (the "Special Administrator"), the Special Administrator of the above-named estate, is proposing to take steps – on an imminent basis – which might impair Roc Nation's contractual rights against decedent and entities with which he was associated. We ask that the Court not consider any request by the Special Administrator to consider approval of steps which would tend to impair Roc Nation's rights.

Roc Nation is a creditor of the estate of the Artist by reason of contracts between our client, the decedent and entities with which decedent was associated during his lifetime. By letter dated May 27, 2016, Roc Nation gave written notice of its contractual claims to the Special Administrator (the "May 27 Letter"). A true and correct copy of the May 27 Letter giving notice of Roc Nation's contractual rights and claims is attached to this letter as Exhibit A. Pursuant to Minn. Stat. 524.3-804, the May 27 Letter constitutes a Notice of Claim within the meaning of Minn. Stat. Sec. 524.1-201. Roc Nation has received no disallowance of this claim within the meaning of Minn. Stat. 524.3-806 and it is the position of Roc Nation that its claim is accordingly allowed because more than two months have elapsed since Roc Nation gave notice of its claim.

By way of background, Roc Nation controls and administers certain specific rights in connection with the Musical Assets. Roc Nation does so pursuant to various agreements between the relevant parties including, but not limited to, an exclusive worldwide distribution agreement between Roc Nation's licensors, Aspiro AB as assignee of WiMPMusic AS., on the one hand, and NPG Records, Inc. ("NR") and NPG Music Publishing, LLC (together with NR, "NPG"), on the other hand, dated

[†] also admitted in Wisconsin
^{*} also admitted in Oregon
[†] also admitted in Illinois
[~] also admitted in New York

RODNEY J. MASON, LTD.

The Honorable Kevin W. Eide
October 21, 2016
Page Two

August 1, 2015 (as thereafter amended, the "Distribution Agreement") in connection with various Artist master recordings and other intellectual property rights. The NPG entities have represented and warranted to Roc Nation that they own and control the distribution and other rights to certain specific Musical Assets which were conveyed to Roc Nation pursuant to the Distribution Agreement. The Distribution Agreement provides that its term is the longer of three years or full recoupment of monies advanced under the Distribution Agreement. As neither of these milestones has yet occurred, the Distribution Agreement remains in full force and effect.

In connection with the Distribution Agreement, NPG and the Artist delivered to Roc Nation the master recordings embodied on the album entitled "HITnRUN Phase 1," and granted Roc Nation the worldwide exclusive rights to exploit such master recordings through, among other things, the manufacturing of records, public performances, and reproduction in any medium and in any manner (including, but not limited to, all physical and digital rights on and through the TIDAL music streaming service and otherwise). On September 15, 2015, Roc Nation commercially released "HITnRUN Phase 1". Subsequent to execution of the Distribution Agreement, the Artist, NPG and Roc Nation entered into additional oral and written agreements which were confirmed by a course of dealing between the various parties, and various documents and emails, that expanded the rights held by Roc Nation pursuant to the Distribution Agreement concerning the Artist and at least one other performer. First, the Artist and NPG (and other applicable parties acting on his and NPG's behalf) delivered to Roc Nation the master recordings embodied on the album "HITnRUN Phase 2" and conveyed to Roc Nation all exclusive right, title, and interest to all digital and physical exploitation of such master recordings through any and all media, including, but not limited to, distribution of the album through TIDAL. Roc Nation released "HITnRUN Phase 2" on TIDAL on April 21, 2016, and made it available for sale on other retail outlets. Second, the Artist and NPG (and other applicable parties acting on his and NPG's behalf) delivered to Roc Nation certain unreleased master recordings which were intended to be released by Roc Nation after the release of HITnRUN Phase 2 (the "New Album"). Roc Nation is in possession of the New Album and was working with the Artist's team on plans to market and release the New Album and intends to do so. Third, the Artist and NPG conveyed to Roc Nation the right for Roc Nation artists to re-mix, in their sole discretion, the "HITnRUN Phase One" album and for Roc Nation to release such re-mix. Finally, and significantly, the Artist and NPG granted Roc Nation the exclusive rights to stream the Artist's vast and historic catalogue of master recordings and musical compositions *exclusively* on the TIDAL service. It was no secret that the Artist did not like competing streaming services and was publically vocal in support of his granting exclusive rights to TIDAL. From 2015 until today, the only place the Artist's historic catalogue is and has been available for streaming is on TIDAL, and there are many documents which support the parties' agreement to the same.

As referenced above, Roc Nation has advised the Special Administrator of Roc Nation's rights to the Musical Assets, as set forth herein, but, to date, the Special Administrator has failed to meaningfully respond to Roc Nation. Through the May 27 Letter, Roc Nation informed the Special

RODNEY J. MASON, LTD.

The Honorable Kevin W. Eide
October 21, 2016
Page Three

Administrator of the agreements and working relationship between Roc Nation and the Artist, and further requested that Roc Nation be considered to assist in the administration of the Musical Assets. As made clear in the May 27 Letter, the principals of Roc Nation and the Artist enjoyed a close working relationship as well artistic and professional alignment. Indeed, the Artist was enthusiastically supportive of TIDAL's business model and its artist-centric approach to the music industry. (See, e.g., <http://www.bbc.com/news/entertainment-arts-36467321>; <http://www.ew.com/article/2015/08/31/prince-interview-hitnrun>) (copies of which are attached as Exhibit B). After submission of the May 27 Letter, counsel for Roc Nation sought to follow up with the Special Administrator and Mr. Londell McMillan, and spoke with an executive at the Special Administrator in July 2016, at which time counsel was advised that the Special Administrator was still in the early planning stages for administration of the Musical Assets. Despite Roc Nation's existing agreements and prior work and personal relationship with the Artist, the Special Administrator failed to contact Roc Nation.

Roc Nation again wrote to the Special Administrator several days ago, via letter dated October 17, 2016 (the "October 17 Letter"), to once more advise the Special Administrator of Roc Nation's exclusive rights to the Musical Assets and to request that Roc Nation be provided an opportunity to present its unique capabilities to the Special Administrator – all of which would be of great benefit to the estate and its long term financial plan. The October 17 Letter (which is attached as Exhibit C) further advised that Roc Nation would not hesitate to fully enforce its rights to the Musical Assets in the event the Special Administrator entered into any agreements infringing upon such rights. The Special Administrator responded on the evening of October 20, 2016 (by letter dated October 19, 2016, which is attached as Exhibit D), disputing and exhibiting no intention to honor Roc Nation's rights as set forth above.

As noted above, it has widely been reported in the media that the Special Administrator, and certain advisers acting on its behalf, actively is seeking to exploit the Musical Assets (see, e.g., <http://www.billboard.com/articles/news/7541106/prince-music-vault-shopped-purple-rain-deluxe-edition>) (a copy of which is attached as Exhibit E), and that the Special Administrator may soon be entering into various agreements with respect to the Musical Assets. We further understand that such agreements may indeed concern the same exclusive rights to the Musical Assets held by Roc Nation, which the Special Administrator is purporting to control and potentially convey.

Accordingly, and particularly in light of the Special Administrator's letter dated October 19, we respectfully request that Roc Nation be given the opportunity to be heard by Your Honor and/or seek injunctive relief if necessary prior to the Special Administrator entering into any agreement that infringes upon the rights of Roc Nation and/or the Court's approval of any such agreement.

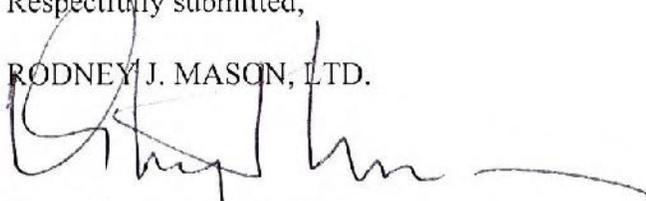
We thank the Court in advance for its consideration.

RODNEY J. MASON, LTD.

The Honorable Kevin W. Eide
October 21, 2016
Page Four

Respectfully submitted,

RODNEY J. MASON, LTD.



By: Rodney J. Mason
rmason@rodneyjmason.com

RJM:sb

cc: Jordan Siev (jsiev@reedsmith.com)
Christopher Hoffman (choffman@reedsmith.com)
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Adam Gislason (agislason@lommen.com)
Laura Halferty (laura.halferty@stinson.com)

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EXHIBIT A

ROC NATION

1411 BROADWAY, 39th FLOOR
NEW YORK, NY 10018

May 27, 2016

Bremer Trust, National Association
4150 Second Street South
St. Cloud, MN 56301
c/o Stinson Leonard Street
150 South Fifth Street
Suite 2300
Minneapolis, MN 55402

Re: Estate of Prince Rogers Nelson – Roc Nation's Submission of Qualifications to Act as Administrator of Musical Assets

Ladies and Gentlemen:

On behalf of Roc Nation, LLC and its owners, including Mr. Shawn "JAY Z" Carter, we respectfully submit this letter to Bremer Trust, National Association ("Bremer") regarding consideration of Roc Nation to administer the musical assets of the Estate of Mr. Prince Rogers Nelson (the "Estate"), as more fully set forth below.

As the Court Appointed-Special Administrator, we understand that Bremer Trust now has principal responsibility for the exclusive management and supervision of (among other things) the business and music interests of the Estate, including NPG Records and NPG Music Publishing.

By way of background, Roc Nation is a full service entertainment company founded by Mr. Carter in 2008. As an artist founded and owned company, Roc Nation is and always has been an "artists first" endeavor. Roc Nation is currently comprised of a record label, publishing company, talent management company and sports agency. We represent a diverse roster of recording artists and athletes, including Rihanna, Shakira, Kevin Durant and J. Cole.

For the past eight years, Roc Nation has continued to grow and evolve by remaining a place where artists' visions are developed, their rights are protected, and where the Roc Nation team works every day to create a wealth of opportunities for each of its clients. In addition to signing clients directly to Roc Nation, we also have a proven track record of working with other parties in the form of partnerships, acquisitions and investments, notably including our controlling interests in the successful and talented management companies Three Six Zero (Calvin Harris, deadmau5) and Philymack (Demi Lovato, Nick Jonas). Attached to this letter, you will find a more detailed profile of Roc Nation's business for your review. Currently, Roc Nation has played a significant role in supporting Mr. Carter's other business, TIDAL, an artist-owned music streaming service focused on protecting the rights of content creators.

As Bremer may be aware, prior to Mr. Nelson's unfortunate passing, Mr. Nelson, NPG Records and NPG Publishing granted TIDAL the rights to include Mr. Nelson's musical and

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audiovisual works on the service. Notably, TIDAL is the only streaming service in which Mr. Nelson allowed the inclusion of his catalog. Additionally, Roc Nation currently serves as the distribution and supporting record label for Mr. Nelson's most recent albums titled *HITNRUN Phase 1 and 2*. Throughout the course of our relationship with Mr. Nelson, Mr. Carter and other Roc Nation executives have made it a priority to ensure that his artistic vision was achieved and executed. In all facets, whether, business or creative, we have ensured that his wishes were carried out.

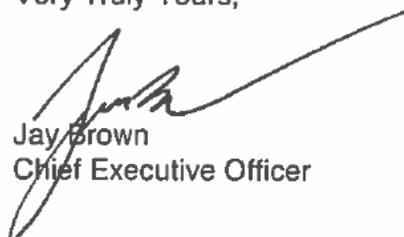
indeed, Mr. Nelson spoke openly with Mr. Carter about the future of his music, and the future of the music industry overall. Mr. Nelson's point of view on art, protecting rights and advocating for social good were directly aligned with those of Mr. Carter. He confided in and entrusted Mr. Carter and Roc Nation with his most prized possession, his creative expression. They spoke not just as respected businessmen, but as artists aligned in mission.

In light of Roc Nation's expertise and established relationships throughout the entertainment industry, our current distribution of Mr. Nelson's musical works, and our creative and business alignment with his views on the dissemination of his music, Roc Nation asks that you consider appointing us as the manager and administrator of Mr. Nelson's musical assets, in the form of recorded music and musical compositions, including those that reside under NPG Records, NPG Publishing and other entities that own and/or control such interests. Given Roc Nation's strong financial profile and highly maneuverable business model, we feel that we are in the best position to manage such assets in a manner that will be beneficial to the Estate and in line with Mr. Nelson's vision. Additionally, Mr. Carter and other Roc Nation executives humbly request a meeting with the representatives of the Estate to discuss our potential appointment, and to demonstrate in greater detail how such appointment will benefit the Estate and its beneficiaries.

Kindly note that this letter and the attached documents contain Roc Nation's confidential and proprietary business information. Accordingly, we request that the existence of this correspondence and the information contained herein be held in strict confidence.

We look forward to speaking and meeting with you.

Very Truly Yours,



Jay Brown
Chief Executive Officer

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ROCNATION

COMPANY OVERVIEW

Founded in 2008 by
Shawn “JAY Z” Carter

Based in New York with
offices in Los Angeles,
Nashville, and London

Diverse portfolio of over
230 artists and athletes,
events, and more

Entrepreneurial spirit
and passion for
marketing, branding and
innovation

Creating unique,
groundbreaking and
fully-customized
strategies and programs

230 employees across all
offices

CORPORATE STRUCTURE – ROC NATION GROUP

Music

Talent Management

Roc Nation Management	Marcy Musik	Three Six Zero	G Major Management	Philymack Management	New Community
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Music Label

Roc Nation Records

Publishing

Roc Nation Publishing

Live Events / Event Production – Concerts & Festivals

Made in America	Diversified Prod. Services	Production Staffing Group	ESM Productions
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Sports

Athlete Representation

Roc Nation Sports

Boxer Promotion & Representation

Roc Nation Boxing

Other

Content Production

Scheme Engine	Philymack Productions
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New Business Ventures

Host VIP

Minority Investments

			Precedent Investments LLC		
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Legend

Wholly Owned Subsidiary	Controlled Partnerships	Minority Investment
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THE ROC NATION ADVANTAGE

- Capacity to leverage talent, events and relationships throughout the entertainment industry
- Home to a diverse roster of some of the world's most talented entertainers, athletes, global trendsetters, and iconic figures
- Full-service offering including:
 - Talent management
 - Representation and promotion
 - Music label distribution and publishing
 - Event production
 - Branding and digital marketing
 - Content production
- Relationships with most powerful consumer brands and audiences
- Ability to not only target the current stars of today, but also pinpoint and develop the rising talent of the future
- Expertise in brand building and amplifying profiles to enable clients to reach global audiences in new ways

ARTIST MANAGEMENT: ROSTER

Artists

- Adley Aiken
- Alicia Renee
- Andrea Martin
- Ashley Rose
- Autre Ne Veut
- Basement
- Big Sean
- Capital Cities
- Caroline Smith
- Casey Veggies
- Casi Wyn
- Claudia Leitte
- Coheed and Cambria
- DJ Khaled
- DJ Mustard
- Doc Mckinney
- Doja Cat
- Emeli Sandé
- Fred Ball
- GaryGo
- Grimes
- HAIM
- Jesset Blevins
- Kevin Garrett
- Korn
- Kuk Harrell
- Ludwig
- Mack Wilds
- Matthew Dear
- Meek Mill
- New Look
- Rihanna
- Samuel Larsen
- Santigold
- Shakira
- Shea Taylor
- SOPHIE
- Stargate
- Sunni Colon
- Switch
- The Cold Seas
- Touche Amore
- Usher
- Wardell
- Willo Perron
- Yandel
- Yves Rothmans

DJs & Personalities

- **DJs**
 - DJ Camilo
 - DJ Lobo
 - Harley Viera-Newton
 - Reflex
 - Ross One
- **Personalities**
 - Angie Martinez

New Community

- Alexander
- Bad Suns
- ESMZ
- James Vincent McMorrow
- the Voidz
- Letts
- Lia Ices
- McMorrow

G Major

- Danielle Bradbery
- Jewel
- Thomas Rhett

ARTIST MANAGEMENT: ROSTER, CONT'D.

TSZ Group

- 3LAU
- Astronomyy
- Bat For Lashes
- Ben Pearce
- Black Asteroid
- Burns
- Calvin Harris
- Daughtry
- deadmau5
- Duke Dumont
- Eva Shaw
- Feed Me
- Foreign Fields
- Frank Ocean
- FREAK
- Friend Within
- Guy Gerber
- The Hearts
- Hembree
- Hurts
- Ikaria
- ISLE
- Jacob Plant
- James Maslow
- James Zabiela
- Jarami
- Jessie Ware
- Juliette Lewis
- Kacy Hill
- Kraddy
- Knife Party
- Leon Reverse
- Magic Man
- Maribou State
- Miamigo
- Michael Woods
- MistaJam
- Morrissey
- Nero
- Nic Fanciulli
- Pawws
- Pedestrian
- Pendulum
- Poison The Well
- R3hab
- Sasha
- Say Lou Lou
- Shameik Moore
- Skott
- Slaves
- Spor
- Theo Kottis
- Tough Love
- Travis Scott
- Ursine Vulpine
- Vaults

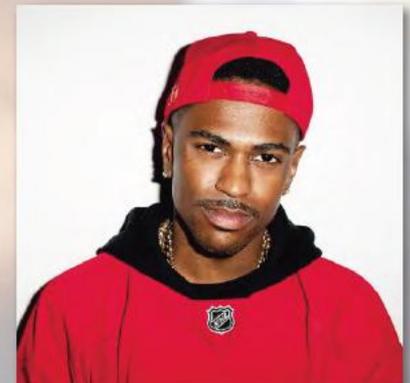
Phillymack

- Bea Miller
- Chord Overstreet
- Demi Lovato
- DNCE
- Joe Jonas
- Nick Jonas



ARTIST MANAGEMENT

- Full service offering includes:
 - Tour management
 - Securing sponsorships and brand deals
 - Securing label and publishing advances, monitoring royalties
 - Establishing philanthropic presence (e.g., Diamond Ball)
 - Launch other business endeavors
 - Concierge service
- Roc Nation offers other best-in-class in-house services, such as digital and social media management



ROC NATION RECORDS

Label Overview

- Recording and marketing promotion of albums
- Securing songs and producers to work with various music label artists
- Working with artists to produce:
 - Wholly owned albums: owned and distributed by Roc Nation
 - Joint venture albums: produced and distributed alongside another label
 - P&D albums: produced and marketed by the artist themselves, but distributed by Roc Nation

Roster

- | | |
|-------------------|----------------|
| • Belly | • Rihanna |
| • Claudia Leitte | • ROMANS |
| • DJ Mustard | • T.I. |
| • DOROTHY | • The Dream |
| • J. Cole | • The Lox |
| • JAY Electronica | • Toby Randall |
| • JAY Z | • Vic Mensa |
| • Justine Skye | • Willow |
| • Mayaeni | |
| • Prince | |



PUBLISHING

Publishing Overview

- Represents leading Songwriters across genres
- Publishing for top artists including Rihanna, JAY Z, Bruno Mars, Beyoncé, and many others

Songwriter Roster

- Alexis Jordan
- Benjamin Harrison
- Brandon R. Linsey
- Can “Stress” Canatan
- Carmen Key
- Chad Sabo
- Chase J
- Deondre Collins “Trakmatik”
- Dorothy Martin
- Henrik Jonback
- Isaac Gracie
- James Davaughn Lennard
- Jamil Pierre “Deputy”
- Jesse Frasure
- Joelle James
- Jon Asher
- Jordan Asher Cruz “Boots”
- Joseph Angel
- Kevin “Kes Kaos” Kesse
- Kevin Garrett
- Kirby Dockery
- Ludwig Gorransen
- Jahlil “Beats” Tucker
- Peter Ibsen
- Sam Roman
- Symbolic One

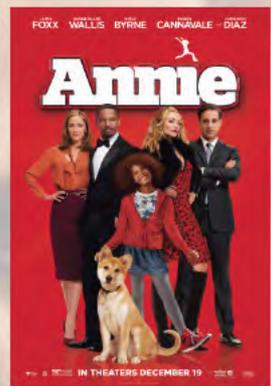
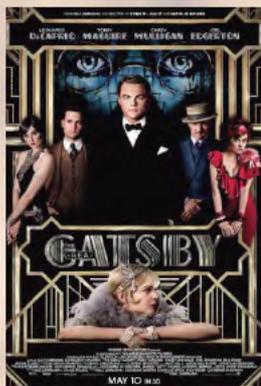


Three Six Zero Writers

- GTA
- The Hearts
- Vaults

ROC NATION FILM & TELEVISION

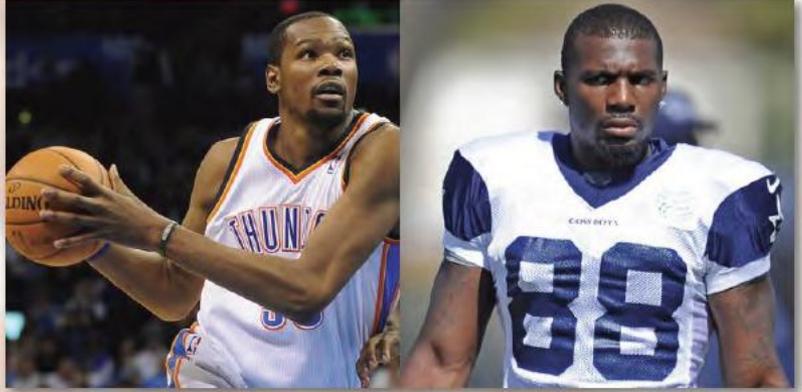
- Must-see film and television productions leveraging Roc Nation's highly sought after client roster and engaging content
- Roc Nation's in-house production team, Scheme Engine, produced the Pentatonix documentary in 2015
- Partnerships include: The Weinstein Company and Overbook Entertainment



ROC NATION SPORTS

Sports Overview

- Secures on the field / court contracts
- Secures sponsorship and brand deals
- Establishes philanthropic presence (e.g., Summer Classic, Canoche, Dream School in DR)
- Launches other business endeavors
- Concierge services



Roster

- **Athletes**
 - Braden Shipley
 - Caris Levert
 - CC Sabathia
 - CJ Prosise
 - Dez Bryant
 - DJ Petersen
 - Dustin Petersen
 - Erick Aybar
 - Geno Smith
 - Henry Ellenson
 - Jaelen Strong
 - James Young
 - Jerome Boateng
 - Justise Winslow
 - Kevin Durant
 - Michael Gbinije
 - Miguel Sano
 - Ndamukong Suh
- **Coaches**
 - Robinson Cano
 - Ronnie Stanley
 - Rusney Castillo
 - Skylar Diggins
 - Todd Gurley
 - Victor Cruz
 - Willie Cauley-Stein
 - Wilson Chandler
 - Yoenis Cespedes
 - Chuck Martin
 - Dennis Thurman

Creates an array of opportunities for world class athletes by leveraging relationships and creating fully customized programs and strategies

ROC NATION BOXING

Offering Overview

- Promotion and marketing of boxers and their respective events
- Secure sponsorship and brand deals

Roster

- Andre Ward
- Daniel Franco
- Darmani Rock
- Dustin Fleisher
- Dusty Hernandez-Harrison
- Ed Latimore
- Guillermo Rigondeaux
- John Bauza
- Junior Younan
- Luis Arias
- Maurice Hooker
- Meng Fanlong
- Miguel Cotto
- Miguel Sanchez
- Orlando Del Valle
- Rudy Puga Jr.
- Sonny Fredrickson
- Tramaine Williams
- Tyler McCreary
- Wang Zhimin
- Wellington Romero
- Zhang Zhilei



BRAND PARTNERS

- Roc Nation's expansive reach with brand partners allow for innovative ways to promote content.
- Notably, artists have partnered with media companies (e.g. Samsung and Google Play) for album releases.



ROCNATION

EXHIBIT B

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MUSIC

PRINCE TALKS ABOUT HIS 'EXPERIMENTAL' NEW RECORD *HITNRUN*

"When you hear something you like that you can't find a precedent for, that's what you want more of..."

BY LEAH GREENBLATT (@LEAHBATS)

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+ ENLARGE PHOTO

Posted August 31 2015 — 3:37 PM EDT

What could His Purpleness possibly have left to prove, nearly four decades and 38 studio albums into a superstar career? Nothing, of course—aside from the fact that he can release *HITNRUN* any way he wants; his latest will bow exclusively on Jay Z's artist-friendly Tidal service (http://www.ew.com/article/2015/08/07/prince-new-album-tidal) on Sept. 7.

"I would say it's an experimental Prince record for fans who just don't care about him sounding like a certain thing," coproducer, mixer, and engineer Joshua Welton told EW last week during a visit to Prince's fabled Paisley Park complex in Minneapolis, Minnesota. "I know he has different types of fan bases and this is kind of for the [hardcore]

Top Stories

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- 'Once Upon a Time' sneak peek: Watch Jasmine and Aladdin meet (http://www.ew.com/article/2016/10/20/once-upon-time-jasmine-aladdin-video)
- Megyn Kelly grills Donna Brazile about alleged leaked email (http://www.ew.com/article/2016/10/20/megyn-kelly-donna-brazile-leaked-email-debate)
- 'Top Chef' cooks up fresh drama in season 14 trailer (http://www.ew.com/article/2016/10/20/top-chef-season-14-trailer)

Purple Collective, the ones who say 'I don't care what he puts out! I love Prince.' And because he's such a creative, it gives him that freedom to say, 'I just want to make music like this today.'"

Prince himself asked that EW not publish the still-unlisted track names before release date, but a few songs already floated online do give a sense of the record's sound: the sinuous guitar anthem "HARDROCKLOVER," (<http://www.ew.com/article/2015/07/01/prince-hardrocklover/>) with its shout outs to Red Bull and Patron and no-quiet-storm-allowed lyrics ("Gonna make her moan/Sade and Babyface/R&B ain't got no place/Put some hard rock on/You better cover your ears"); and the *Art Official Age* reprise "This Could B Us," (<http://www.ew.com/article/2015/08/25/prince-this-could-b-us-tidal>) upgraded with shuddering dubstep Doppler and circa-*Purple Rain* cover art (<http://www.ew.com/article/2015/01/26/prince-this-could-be-us-new-single>).

Suffice to say that there's a lot more dance floor whomp and industrial grind where that came from; a deeper dive into more aggressive sounds than 2013's *Art Official* engaged with, though backing band 3rdEyeGirl does join him again here, and fans may recognize threads from his more recent releases. (Don't worry; you'll get your pretty bedroom ballads, too.) Prince credits a lot of that to Welton—who, at 25, isn't familiar with some of the references floated during the day's listening session, like Ministry or Nine Inch Nails—but Prince says he prefers it that way. "He's pure, don't ruin it!" he says, laughing. "I like that he doesn't know all that stuff. This is what comes from him organically."

Welton is well aware of his young age and relative inexperience, and how much it may surprise people that he plays such a prominent role on the record. Learning just to be normal around the star, he says, "happened in phases." But, he insists, "He's a really down to earth gentleman. When my wife"—that would be Hannah Welton, the drummer in 3rdEyeGirl—"and I first came to Paisley, we just saw him as, you know, another brother who's real cool, real chill, loves people and loves God. And that's how we connected initially, because I didn't come here as a producer, I came here in support of Hannah. So it was easier for me to get normal around him because I got to know him in person first before we started to work. Just seeing him here at the studio or onstage, seeing him with other people playing Ping-Pong, you get it." (There is, in fact, a Ping-Pong table in an adjacent studio that looks like it's seen some serious session work.)

Still, Welton admits that there are catches to working with the man he calls "a genius" more than once: "When it comes to the mixing part it's pretty stressful because, you know, he hears in Wi-Fi! A lot of times I learn just from being around him, and I'm flattered when I give him a track and he won't even add any instruments but just write a song to it."

For his part, Prince loves the fresh energy that Welton has brought to the table. "When you hear something you like that you can't find a precedent for, that's what you want more of," he says. "That's what gets me excited." Having endured much-publicized battles with his longtime record label Warner Bros. over artistic control (their relationship, he says now, is "clean and transparent, just business"), Prince also appreciates that Tidal gave him full freedom to present the album exactly how he wanted it to be. "Jay allowed us pick the art

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(<http://www.ew.com/gallery/before-they-were-stars>)



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13 Stars You Forgot Were on 'The Sopranos'

(<http://www.ew.com/gallery/13-stars-you-forgot-were-on-the-sopranos>)



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The Lust List: The Top 5 Sexiest Superheroes

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work, the design of the page, the related-content features," he says. "Why shouldn't you be allowed to do that when it's your music, your creation?"

It helps, of course, when Jay Z's happy, too; when asked what the rapper-turned-mogul's reaction was to hearing *HITNRUN* for the first time, Prince and Welton exchange an amused glance, and Welton does his best impression: arms crossed, eyes in an Eastwood squint, head nodding in satisfaction. Hova inspected, Hova approved.

Related Stories

- Prince releases new version of 'This Could B Us' on Tidal (<http://www.ew.com/article/2015/08/25/prince-this-could-b-us-tilal>)
- Prince and 3rd Eye Girl have a new album on the way (<http://www.ew.com/article/2015/07/24/prince-confirms-third-album-3rd-eye-girl-way>)
- Prince's next album will premiere on Tidal in September (<http://www.ew.com/article/2015/08/07/prince-new-album-tilal>)

Get exclusive details about fall's buzziest albums (<http://www.ew.com/topic/fall-music-preview>) in Entertainment Weekly (<https://subscription.ew.com/storefront/subscribe-to-entertainment-weekly/link/1031260.html>) Issue #1379 (<https://subscription.ew.com/storefront/subscribe-to-entertainment-weekly/link/1031260.html>), on stands Aug. 27, and for the full story on Prince and his new album, see the print issue of Entertainment Weekly Issue #1380 on stands Sept. 6.

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Entertainment & Arts

Fifteen Prince albums make streaming debut on his 58th birthday

By Mark Savage
BBC Music reporter

7 June 2016 Entertainment & Arts



Prince died in April from an overdose of painkillers

Streaming service Tidal has made 15 rare Prince albums available for streaming on what would have been the star's 58th birthday.

The collection includes the infamous Black Album - a dark, funky follow-up to Sign O' The Times, which Prince scrapped a week before release in 1987.

It also features The Gold Experience, which includes his only UK number one, The Most Beautiful Girl In The World.

Prince was a vocal supporter of Jay Z's streaming service before his death.

He pulled most of his back catalogue from services like Spotify and Apple Music last year, and released his final albums Hitnrun Phase One and Hitnrun Phase Two as Tidal exclusives.

Prince said in a statement in August 2015: "After one meeting, it was obvious that Jay Z and the team he has assembled at Tidal recognise and applaud the effort that real musicians put in2 their craft 2 achieve the very best they can at this pivotal time in the music industry.

"Tidal have honoured Us with a non-restrictive arrangement that once again allows Us to continue making art in the fashion We've grown accustomed 2 and We're Extremely grateful 4 their generous support."

- **Obituary: Prince**
- **Five strange stories about mysterious Prince**
- **Sixteen pivotal songs**
- **A life in pictures**
- **Prince: No-one will compare**

The new releases mostly comprise material the star recorded after he ended his contract with Warner Bros in 1996.

As a result, most of the material is far from his best - although some highlights include the jazz-inflected *The Rainbow Children* and *Indigo Nights* - which documents the legendary aftershows the star gave during his 21-night residency at London's O2 Arena in 2007.

Triple disc *Crystal Ball* is also worth investigating, as it features outtakes and "lost" songs from the star's mid-80s heyday, including the expansive, 10-minute title track and the jittering funk of *Sexual Suicide*.

Estate hearings fast-tracked

Prince died on 21 April from an accidental overdose of the painkiller fentanyl, an opioid many times more powerful than heroin.

He was thought to be suffering knee and hip pain, caused by performing in high heels for much of his career.

The star left no will, leaving the court to decide how to divide his estate, which is estimated to be worth more than \$27m (£18.5m), plus future royalties and licensing deals.

AP

Prince had some of his greatest successes in the 1980s

On Monday, a Minnesota judge fast-tracked the hearing, giving claimants until the end of the week to file sworn statements detailing their claims to have a genetic relationship with Prince that would make them heirs.

The validity of their claims will be determined by a special administrator, who could order DNA testing.

The claimants so far include Prince's sister, Tyka Nelson, several half-siblings, and a Colorado prison inmate who says Prince was his father and others.

The next hearing is scheduled for 27 June.

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October 17, 2016

By Email (laura.halferty@stinson.com)

Laura Halferty
Stinson Leonard Street
150 South Fifth Street
Suite 2300
Minneapolis, MN 55402

Re: Roc Nation LLC as Exclusive Rights Holder to Assets of the Estate of Prince Rogers Nelson

Dear Ms. Halferty:

We write on behalf of Roc Nation LLC (“Roc Nation”) in connection with the administration of the musical assets (the “Musical Assets”) of the estate of Prince Rogers Nelson (the “Artist”) by the Bremer Trust, National Association (“Bremer”). As Roc Nation previously advised Bremer by letter dated May 27, 2016 (the “May 27 Letter”), a copy of which is enclosed for your convenience, Roc Nation, and its licensors, licensees and assigns, controls and administers certain specific rights in connection with various Artist Musical Assets. Roc Nation does so pursuant to agreements between the relevant parties including, but not limited to, that certain exclusive distribution agreement between Roc Nation’s licensors, Aspiro AB as assignee of WiMPMusic SA., on the one hand, and NPG Records, Inc. (“NR”) and NPG Music Publishing, LLC (together with NR, “NPG”), on the other hand, dated August 1, 2015 (as thereafter amended, the “Distribution Agreement”) in connection with various Artist master recordings and other intellectual property rights. The Distribution Agreement provides that the term of the Distribution Agreement is the longer of three years or full recoupment of monies advanced under the Distribution Agreement. As neither of these milestones has yet occurred, the Distribution Agreement remains in full force and effect.

By way of background, and as highlighted in the May 27 Letter, Roc Nation and NPG have enjoyed a successful working relationship that has included, among other things, the Distribution Agreement, the distribution of two of Artist’s albums entitled “HITnRUN Phase One” and “HITnRUN Phase 2,” one album by the artist known as Judith Hill entitled “Back In Time,” and the additional involvement of Roc Nation in various aspects of the Artist’s catalogue and career, including the exclusive streaming of Artist’s musical and audiovisual works on the TIDAL artist-owned music streaming service. As such, Roc Nation has always believed that it is uniquely qualified to serve as the manager and administrator of the Musical Assets in light of its substantial and productive history with NPG, and especially given the artistic and professional alignment between the Artist and the principals of Roc Nation.

After submission of the May 27 Letter, counsel for Roc Nation sought to follow up with Bremer and Mr. Londell McMillan, and spoke with a Bremer executive in July 2016, at which time counsel was advised that Bremer was still in the early planning stages for administration of the Musical Assets.

ReedSmith

Laura Halferty
October 17, 2016
Page 2

Bremer further advised that it expected to contact Roc Nation when the estate's administration of the Musical Assets was under more immediate consideration, which now appears to be the case based on recent press reports. Roc Nation looks forward to being given the ability to present on its vision for the Musical Assets including, among other things, (i) its prior personal relationship with the Artist; (ii) its unparalleled track record in the music business for championing artist's rights; and (iii) its rich history of obtaining record breaking deals for its artists – all of which would be of great benefit to the estate and its long term financial plan.

As noted above, it has come to our attention through various media publications (*see e.g.*, <http://www.billboard.com/articles/news/7541106/prince-music-vault-shopped-purple-rain-deluxe-edition>) that Bremer, and certain advisers acting on behalf of Bremer, actively have been seeking to exploit the Musical Assets, and may be consummating agreements to that end on an imminent basis. While we are hopeful that no such deals will be reached before Roc Nation has the ability to present its overall qualifications and strategy for maximizing the value of the Musical Assets, we also trust that Bremer will not enter into any agreements that infringe upon the exclusive distribution rights and other rights held by Roc Nation, or its assigns or licensees, which would necessitate that Roc Nation (and the other interested parties) take all appropriate action to fully enforce its rights. We are, of course, available to discuss any such prospective agreements with you in order to avoid any such potential infringement on Roc Nation's exclusive rights.

Finally, please note that we have copied all counsel for the Artist's heirs on this communication, including Holland & Knight which we understand to have recently appeared in this matter on behalf of Tyka Nelson.

We look forward to hearing from you concerning the above.

Very truly yours,

/s/ Jordan W. Siev
Jordan W. Siev

cc: Edward Diaz (Edward.diaz@hklaw.com)
Vivian Thoreen (vivian.thoreen@hklaw.com)
Tom Kane (TKane@cozen.com)
Justin Bruntjen (justin@b2lawyers.com)
Adam Gislason (agislason@lommen.com)

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1411 BROADWAY, 39th FLOOR
NEW YORK, NY 10018

May 27, 2016

Bremer Trust, National Association
4150 Second Street South
St. Cloud, MN 56301
c/o Stinson Leonard Street
150 South Fifth Street
Suite 2300
Minneapolis, MN 55402

Re: Estate of Prince Rogers Nelson – Roc Nation's Submission of Qualifications to Act as Administrator of Musical Assets

Ladies and Gentlemen:

On behalf of Roc Nation, LLC and its owners, including Mr. Shawn "JAY Z" Carter, we respectfully submit this letter to Bremer Trust, National Association ("Bremer") regarding consideration of Roc Nation to administer the musical assets of the Estate of Mr. Prince Rogers Nelson (the "Estate"), as more fully set forth below.

As the Court Appointed-Special Administrator, we understand that Bremer Trust now has principal responsibility for the exclusive management and supervision of (among other things) the business and music interests of the Estate, including NPG Records and NPG Music Publishing.

By way of background, Roc Nation is a full service entertainment company founded by Mr. Carter in 2008. As an artist founded and owned company, Roc Nation is and always has been an "artists first" endeavor. Roc Nation is currently comprised of a record label, publishing company, talent management company and sports agency. We represent a diverse roster of recording artists and athletes, including Rihanna, Shakira, Kevin Durant and J. Cole.

For the past eight years, Roc Nation has continued to grow and evolve by remaining a place where artists' visions are developed, their rights are protected, and where the Roc Nation team works every day to create a wealth of opportunities for each of its clients. In addition to signing clients directly to Roc Nation, we also have a proven track record of working with other parties in the form of partnerships, acquisitions and investments, notably including our controlling interests in the successful and talented management companies Three Six Zero (Calvin Harris, deadmau5) and Philymack (Demi Lovato, Nick Jonas). Attached to this letter, you will find a more detailed profile of Roc Nation's business for your review. Currently, Roc Nation has played a significant role in supporting Mr. Carter's other business, TIDAL, an artist-owned music streaming service focused on protecting the rights of content creators.

As Bremer may be aware, prior to Mr. Nelson's unfortunate passing, Mr. Nelson, NPG Records and NPG Publishing granted TIDAL the rights to include Mr. Nelson's musical and

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audiovisual works on the service. Notably, TIDAL is the only streaming service in which Mr. Nelson allowed the inclusion of his catalog. Additionally, Roc Nation currently serves as the distribution and supporting record label for Mr. Nelson's most recent albums titled *HITNRUN Phase 1 and 2*. Throughout the course of our relationship with Mr. Nelson, Mr. Carter and other Roc Nation executives have made it a priority to ensure that his artistic vision was achieved and executed. In all facets, whether, business or creative, we have ensured that his wishes were carried out.

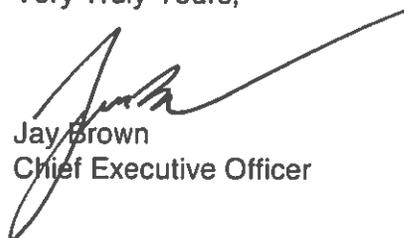
Indeed, Mr. Nelson spoke openly with Mr. Carter about the future of his music, and the future of the music industry overall. Mr. Nelson's point of view on art, protecting rights and advocating for social good were directly aligned with those of Mr. Carter. He confided in and entrusted Mr. Carter and Roc Nation with his most prized possession, his creative expression. They spoke not just as respected businessmen, but as artists aligned in mission.

In light of Roc Nation's expertise and established relationships throughout the entertainment industry, our current distribution of Mr. Nelson's musical works, and our creative and business alignment with his views on the dissemination of his music, Roc Nation asks that you consider appointing us as the manager and administrator of Mr. Nelson's musical assets, in the form of recorded music and musical compositions, including those that reside under NPG Records, NPG Publishing and other entities that own and/or control such interests. Given Roc Nation's strong financial profile and highly maneuverable business model, we feel that we are in the best position to manage such assets in a manner that will be beneficial to the Estate and in line with Mr. Nelson's vision. Additionally, Mr. Carter and other Roc Nation executives humbly request a meeting with the representatives of the Estate to discuss our potential appointment, and to demonstrate in greater detail how such appointment will benefit the Estate and its beneficiaries.

Kindly note that this letter and the attached documents contain Roc Nation's confidential and proprietary business information. Accordingly, we request that the existence of this correspondence and the information contained herein be held in strict confidence.

We look forward to speaking and meeting with you.

Very Truly Yours,



Jay Brown
Chief Executive Officer

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ROCNATION

COMPANY OVERVIEW

Founded in 2008 by
Shawn “JAY Z” Carter

Based in New York with
offices in Los Angeles,
Nashville, and London

Diverse portfolio of over
230 artists and athletes,
events, and more

Entrepreneurial spirit
and passion for
marketing, branding and
innovation

Creating unique,
groundbreaking and
fully-customized
strategies and programs

230 employees across all
offices

CORPORATE STRUCTURE – ROC NATION GROUP

Music

Talent Management

Roc Nation Management	Marcy Musik	Three Six Zero	G Major Management	Philymack Management	New Community
-----------------------	-------------	----------------	--------------------	----------------------	---------------

Music Label

Roc Nation Records

Publishing

Roc Nation Publishing

Live Events / Event Production – Concerts & Festivals

Made in America	Diversified Prod. Services	Production Staffing Group	ESM Productions
-----------------	----------------------------	---------------------------	-----------------

Sports

Athlete Representation

Roc Nation Sports

Boxer Promotion & Representation

Roc Nation Boxing

Other

Content Production

Scheme Engine	Philymack Productions
---------------	-----------------------

New Business Ventures

Host VIP

Minority Investments

			Precedent Investments LLC		
---	---	---	---------------------------	---	---

Legend

Wholly Owned Subsidiary	Controlled Partnerships	Minority Investment
-------------------------	-------------------------	---------------------

THE ROC NATION ADVANTAGE

- Capacity to leverage talent, events and relationships throughout the entertainment industry
- Home to a diverse roster of some of the world's most talented entertainers, athletes, global trendsetters, and iconic figures
- Full-service offering including:
 - Talent management
 - Representation and promotion
 - Music label distribution and publishing
 - Event production
 - Branding and digital marketing
 - Content production
- Relationships with most powerful consumer brands and audiences
- Ability to not only target the current stars of today, but also pinpoint and develop the rising talent of the future
- Expertise in brand building and amplifying profiles to enable clients to reach global audiences in new ways

ARTIST MANAGEMENT: ROSTER

Artists

- Adley Aiken
- Alicia Renee
- Andrea Martin
- Ashley Rose
- Autre Ne Veut
- Basement
- Big Sean
- Capital Cities
- Caroline Smith
- Casey Veggies
- Casi Wyn
- Claudia Leitte
- Coheed and Cambria
- DJ Khaled
- DJ Mustard
- Doc Mckinney
- Doja Cat
- Emeli Sandé
- Fred Ball
- GaryGo
- Grimes
- HAIM
- Jesset Blevins
- Kevin Garrett
- Korn
- Kuk Harrell
- Ludwig
- Mack Wilds
- Matthew Dear
- Meek Mill
- New Look
- Rihanna
- Samuel Larsen
- Santigold
- Shakira
- Shea Taylor
- SOPHIE
- Stargate
- Sunni Colon
- Switch
- The Cold Seas
- Touche Amore
- Usher
- Wardell
- Willo Perron
- Yandel
- Yves Rothmans

DJs & Personalities

- **DJs**
 - DJ Camilo
 - DJ Lobo
 - Harley Viera-Newton
 - Reflex
 - Ross One
- **Personalities**
 - Angie Martinez

New Community

- Alexander
- Bad Suns
- ESMZ
- James Vincent McMorrow
- the Voidz
- Letts
- Lia Ices
- McMorrow

G Major

- Danielle Bradbery
- Jewel
- Thomas Rhett

ARTIST MANAGEMENT: ROSTER, CONT'D.

TSZ Group

- 3LAU
- Astronomyy
- Bat For Lashes
- Ben Pearce
- Black Asteroid
- Burns
- Calvin Harris
- Daughtry
- deadmau5
- Duke Dumont
- Eva Shaw
- Feed Me
- Foreign Fields
- Frank Ocean
- FREAK
- Friend Within
- Guy Gerber
- The Hearts
- Hembree
- Hurts
- Ikaria
- ISLE
- Jacob Plant
- James Maslow
- James Zabiela
- Jarami
- Jessie Ware
- Juliette Lewis
- Kacy Hill
- Kraddy
- Knife Party
- Leon Reverse
- Magic Man
- Maribou State
- Miamigo
- Michael Woods
- MistaJam
- Morrissey
- Nero
- Nic Fanciulli
- Pawws
- Pedestrian
- Pendulum
- Poison The Well
- R3hab
- Sasha
- Say Lou Lou
- Shameik Moore
- Skott
- Slaves
- Spor
- Theo Kottis
- Tough Love
- Travis Scott
- Ursine Vulpine
- Vaults

Phillymack

- Bea Miller
- Chord Overstreet
- Demi Lovato
- DNCE
- Joe Jonas
- Nick Jonas



ARTIST MANAGEMENT

- Full service offering includes:
 - Tour management
 - Securing sponsorships and brand deals
 - Securing label and publishing advances, monitoring royalties
 - Establishing philanthropic presence (e.g., Diamond Ball)
 - Launch other business endeavors
 - Concierge service
- Roc Nation offers other best-in-class in-house services, such as digital and social media management



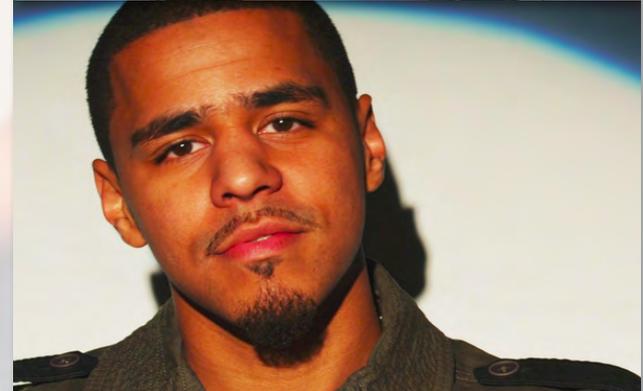
ROC NATION RECORDS

Label Overview

- Recording and marketing promotion of albums
- Securing songs and producers to work with various music label artists
- Working with artists to produce:
 - Wholly owned albums: owned and distributed by Roc Nation
 - Joint venture albums: produced and distributed alongside another label
 - P&D albums: produced and marketed by the artist themselves, but distributed by Roc Nation

Roster

- | | |
|-------------------|----------------|
| • Belly | • Rihanna |
| • Claudia Leitte | • ROMANS |
| • DJ Mustard | • T.I. |
| • DOROTHY | • The Dream |
| • J. Cole | • The Lox |
| • JAY Electronica | • Toby Randall |
| • JAY Z | • Vic Mensa |
| • Justine Skye | • Willow |
| • Mayaeni | |
| • Prince | |



PUBLISHING

Publishing Overview

- Represents leading Songwriters across genres
- Publishing for top artists including Rihanna, JAY Z, Bruno Mars, Beyoncé, and many others

Songwriter Roster

- Alexis Jordan
- Benjamin Harrison
- Brandon R. Linsey
- Can “Stress” Canatan
- Carmen Key
- Chad Sabo
- Chase J
- Deondre Collins “Trakmatik”
- Dorothy Martin
- Henrik Jonback
- Isaac Gracie
- James Davaughn Lennard
- Jamil Pierre “Deputy”
- Jesse Frasure
- Joelle James
- Jon Asher
- Jordan Asher Cruz “Boots”
- Joseph Angel
- Kevin “Kes Kaos” Kesse
- Kevin Garrett
- Kirby Dockery
- Ludwig Gorransen
- Jahlil “Beats” Tucker
- Peter Ibsen
- Sam Roman
- Symbolic One

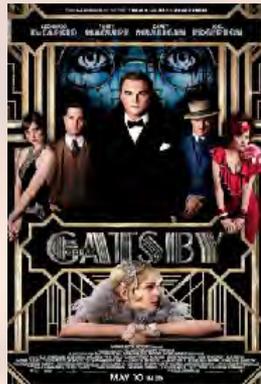


Three Six Zero Writers

- GTA
- The Hearts
- Vaults

ROC NATION FILM & TELEVISION

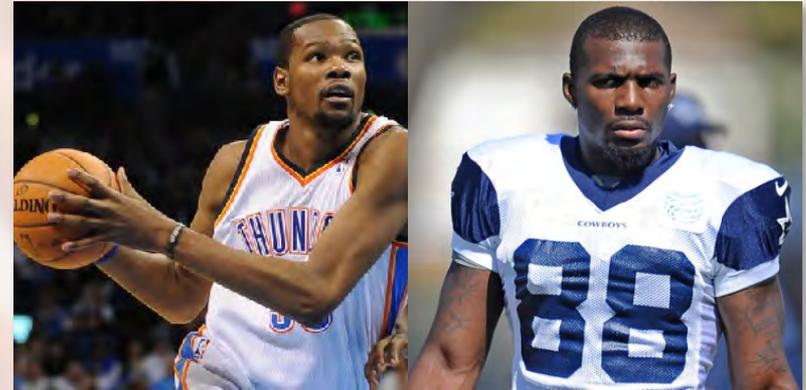
- Must-see film and television productions leveraging Roc Nation's highly sought after client roster and engaging content
- Roc Nation's in-house production team, Scheme Engine, produced the Pentatonix documentary in 2015
- Partnerships include: The Weinstein Company and Overbook Entertainment



ROC NATION SPORTS

Sports Overview

- Secures on the field / court contracts
- Secures sponsorship and brand deals
- Establishes philanthropic presence (e.g., Summer Classic, Canoche, Dream School in DR)
- Launches other business endeavors
- Concierge services



Roster

• Athletes

- Braden Shipley
- Caris Levert
- CC Sabathia
- CJ Prosise
- Dez Bryant
- DJ Petersen
- Dustin Petersen
- Erick Aybar
- Geno Smith
- Henry Ellenson
- Jalen Strong
- James Young
- Jerome Boateng
- Justise Winslow
- Kevin Durant
- Michael Gbinije
- Miguel Sano
- Ndamukong Suh

• Coaches

- Chuck Martin
- Dennis Thurman

Creates an array of opportunities for world class athletes by leveraging relationships and creating fully customized programs and strategies

ROC NATION BOXING

Offering Overview

- Promotion and marketing of boxers and their respective events
- Secure sponsorship and brand deals

Roster

- Andre Ward
- Daniel Franco
- Darmani Rock
- Dustin Fleisher
- Dusty Hernandez-Harrison
- Ed Latimore
- Guillermo Rigondeaux
- John Bauza
- Junior Younan
- Luis Arias
- Maurice Hooker
- Meng Fanlong
- Miguel Cotto
- Miguel Sanchez
- Orlando Del Valle
- Rudy Puga Jr.
- Sonny Fredrickson
- Tramaine Williams
- Tyler McCreary
- Wang Zhimin
- Wellington Romero
- Zhang Zhilei



BRAND PARTNERS

- Roc Nation's expansive reach with brand partners allow for innovative ways to promote content.
- Notably, artists have partnered with media companies (e.g. Samsung and Google Play) for album releases.



LIVE EVENTS & PRODUCTION

- Owns and operates Made in America music festival and two full-service event production companies, DPS and ESM Productions
- Offers full-service solutions for live entertainment, special, and corporate events, including:
 - Event Management and Logistics
 - Site Design, Coordination, and Mgmt.
 - Talent Coordination
 - Staging, Set Design, and Production
 - Live Touring and Live Streaming
 - Finance
- Events produced by DPS include:
 - Global Citizen Festival
 - iHeartRadio Music Festival
 - One Direction Stadium Tour
- Events produced by ESM include:
 - World Meeting of Families 2015 Papal Visit
 - TIDAL X Coldplay
 - Welcome America! Festival



ROCNATION

EXHIBIT D

612.335.1763 **DIRECT**
612.335.1657 **DIRECT FAX**
laura.halferty@stinson.com

**STINSON
LEONARD
STREET**

October 19, 2016

Jordan W. Siev
ReedSmith LLP
599 Lexington Avenue
New York, NY 10022-7650
email: jsiev@reedsmith.com

RE: In re the Estate of Prince Rogers Nelson
Court File No. 10-PR-16-46

Dear Mr. Siev:

I write on behalf of the Special Administrator of the Estate of Prince Rogers Nelson in response to your letter dated October 17, 2016 on behalf of Roc Nation LLC. At this time, the Special Administrator is not interested in entering into any agreements with Roc Nation to exploit any of the intellectual property assets of the Estate.

In addition, your letter references purported “agreements between the relevant parties” including a “certain exclusion distribution agreement” between Aspiro AB and NPG Records, Inc. and NPG Music Publishing, LLC dated August 1, 2015. We are not aware of any such agreement or any other agreements between any entities of the Estate and Roc Nation or Aspiro or related entities other than the Letter of Intent between WiMP Music (“Tidal”) and NPG Records, Inc./NPG Music Publishing, LLC dated August 1, 2015 (attached) and you have not produced any such agreements, despite repeated requests for documents from the Special Administrator. Further, there are several points and issues with respect to the Letter of Intent:

- Paragraph 1 of the Letter of Intent provides that the agreement is for only one album, “the next newly recorded studio LP,” which was Hit N Run phase 1 (“the RN Album”). Yet Tidal released 15 albums on June 7, 2016 without even seeking let alone being granted approval from the Estate: <https://www.cnet.com/news/tidal-adds-rare-prince-albums-to-catalog/>. Thus, the Special Administrator will seek additional information regarding this unauthorized use and sale of copyrighted materials, and the Special Administrator reserves all rights regarding remedies if for this infringement.
- Paragraph 2 of the Letter of Intent limits the exclusivity of the agreement to 90 days such that, after November 1, 2015, even the RN Album was no longer exclusive to Tidal.
- Paragraph 3 of the Letter of Intent provides for a specific payment schedule, but despite repeated requests by the Special Administrator, Tidal has failed to provide documentation of the final payment of \$750,000.00 that is past due.

Jordan W. Siev
October 19, 2016
Page 2

- Paragraph 4 of the Letter of Intent provides that Tidal will pay (50%) of Tidal's "net proceeds" from the RN Album proceeds to NPG (NPG share), but Tidal has failed to provide documentation of such net proceeds or the payments of royalties.
- Paragraph 6 of the Letter of Intent provides that Tidal has a duty to account to NPG on a quarterly basis, but Tidal has failed to do so, even after repeated requests for information by the Special Administrator as detailed below.

Finally, as noted above, Roc Nation has not provided the information that the Special Administrator has repeatedly requested since May 2016. After Traci Bransford notified Roc Nation of Stinson Leonard Street's representation of Bremer Trust as the Special Administrator of the Estate of Prince Rogers Nelson in May of 2016, the Special Administrator's repeated requests for information include the following:

- August 17, 2016 e-mail from Traci Bransford requesting specific documents: "an accounting of all payments made to NPG Records, NPG Music Publishing and /or Mr. Nelson pursuant to paragraph (3) three of the attached TIDAL agreement" and "any other contractual agreement between WIMP Music and/ or ROC Nation and Mr. Nelson and/ or Mr. Nelson's related entities."
- August 26, 2016 e-mail from Traci Bransford following up on August 17, 2016 request.
- August 31, 2016 e-mail from Traci Bransford asking for a call regarding outstanding request for documents. (Todd Mumford responded that "TIDAL's accounting is handled by its team in Oslo, Norway. We are working with TIDAL to obtain the information you have requested and will be in touch next week." The information, however, was never provided.)
- August 31, 2016 e-mail from L. Londell McMillan, asking about the accounting requested for Bremer Trust.

Accordingly, we will proceed to serve a subpoena to obtain the information requested by the Special Administrator. Please confirm that you will accept service of a subpoena on behalf of Roc Nation. Finally, we remind you that Roc Nation should not attempt to unlawfully interfere with the Estate's rights to negotiate and enter into any entertainment agreements to exploit intellectual property assets of the Estate.

Sincerely,

Stinson Leonard Street LLP



Laura E. Halferty

Cc: L. Londell McMillan, Charles Koppelman, and Counsel for Non-Excluded Heirs

EXHIBIT E



Prince Music Vault Being Shopped for \$35 Million; 'Purple Rain' Deluxe Edition Coming Next Year: Source

10/12/2016 by [Jem Aswad](#)



Michael Ochs Archives/Getty Images
Prince performs live at the Fabulous Forum on Feb 19, 1985 in Inglewood, Calif.

[Prince's](#) much-vaunted vault of unreleased music is being shopped for as much as \$35 million by [estate advisers Charles Koppelman and L. Londell McMillan](#), a source close to

~~the~~ situation tells *Billboard*. All three major labels are said to be in talks for rights to the music, the source said.

The source also said that a deluxe edition of *Purple Rain* -- which was [announced as part of the artist's 2014 deal with Warner Bros.](#) but has not yet materialized -- will likely be released in the coming months, possibly as soon as early 2017. As *Billboard* previously reported, [a new greatest-hits collection is expected to be released](#) later this year.

Reps for Koppelman, McMillan and Warner Bros. either declined comment or could not be reached for comment, although McMillan [refuted this report on Twitter](#) Wednesday night, calling it "absurd" and adding, "There are so many false rumors. The devil be up all night! BUSY! Lol."

What exactly is in Prince's studio vault -- which contains thousands of hours of music recorded over four decades -- is unclear, as the estate is still sorting through the artist's assets and determining who will control the estate; sources say the estate has not yet begun cataloging the music in the vault. Ownership of certain unreleased material also remains unclear, as Prince was under contract to Warner Bros. from 1977 until the mid-1990s and subsequently cut one-off or short-term deals with every major label group (including Universal's Republic, Sony's Columbia, Epic and Arista, and EMI) and several indies as well as streaming services over the years.

When Prince's 2014 deal with Warner Bros. was announced, the wording of the press release suggested that the artist at least verbally agreed to issue upgraded versions of his Warner Bros.-era albums and mine his vault for previously unreleased material, beginning with a *Purple Rain* deluxe edition. (Several contemporaneous tracks were released as B-sides and many more have appeared on bootlegs, and several full concerts from the *Purple Rain* era were professionally recorded, including a March 1985 show that was released on the now out-of-print home video *Prince and the Revolution: Live*.) But when asked about the status of the *Purple Rain* reissue shortly after Prince's death, [Warner Bros. chairman and CEO Cameron Strang told *Billboard*](#), "I definitely discussed it with Prince. At times he toyed with doing something with it and maybe worked on it, but he considered *Purple Rain* a masterpiece, and I think he liked it the way it was."

Prince apparently [did not leave a will](#), which has made the management of the estate deeply complicated.

In June, Koppelman (who orchestrated Prince's first post-Warner Bros. deal, with EMI) and attorney McMillan (who represented the artist several times over the years) were appointed musical advisers to Bremer Trust, the temporary administrator of the Prince estate. The announcement came just days after a Minnesota state judge granted Bremer limited authority to hire entertainment industry experts to help manage the music holdings. The two have been reviewing a large number of opportunities from major labels, major publishers and performance rights organizations, sources say.

Since his death on April 21, Prince has sold 1.95 million albums and 4.9 million song downloads in the U.S. through the week ending Oct. 6, according to Nielsen Music.

The case determining which of Prince's family members will be officially declared heirs is ongoing. His sister Tyka and five half-siblings are likely to be declared the rightful heirs, but a judge is still deciding whether a purported niece and grand-niece and nephew should count as well.

The complexity around the estate reached another level last week when Prince's Paisley Park studio complex in Chanhassen, Minn., opened to the public for just three days after a full opening had been announced. A wrench was thrown into the process just days before the opening when the city council voted against a rezoning request that would allow Prince's estate to operate as a museum.

Updated at 11:01 a.m. on October 13, 2016 to include L. Londell McMillan's tweets.





SHARE THIS:



The First Pics Of Janet Jackson's Massive Baby Bump Are Here
Iheartmedia.com



Little Big Town's Meaning Behind 'Better Man'
Iheart.com



Stars You Didn't Know Were Related
Usmagazine.com



Kanye West Hates The Way Jay Z Reacted To Kim's Robbery
Iheart.com

▼ COMMENTS

Joey Bada\$\$ Premieres New Song 'Front & Center'

10/20/2016 by [Dominique Redfearn](#)





Dee Frosted
Joey Bada\$\$

After performing the song "Front & Center" -- which samples the theme music from the Netflix show *Narcos* -- during [ScHoolboy Q's Blank Face Tour](#), [Joey Bada\\$\\$](#) officially premiered the song Thursday (Oct. 20).

Along with the single, Bada\$\$ released a new Pro Erasode featuring footage from the tour. The video goes behind the scenes of his New York City, Washington, D.C., and Atlanta shows, where he rehearses, meets fans and more.

"Front & Center" is Joey's first official single since "Devastated." In August, the rapper [tweeted](#) that the name of his upcoming project is *AABA*, but has yet to say what the acronym stands for or when the album will come out.

You can listen to the song, view the cover art and watch the behind-the-scenes video below:



PRO ERA PRESENTS: Blank Face Tour, Part 2



SHARE THIS:



Why Gwen Stefani Went Pale When Blake Announced His Split

Usmagazine.com



JoJo Opens Up On New Album 'Mad Love'

Iheart.com



Bey's Songwriter Reveals Truth Behind Becky With The Good Hair

Vanityfair.com



Sia Accidentally Shows Her Face During Concert

Foxnews.com

Welcome to New York: Taylor Swift-Curated Museum Exhibit Coming to NYC

10/20/2016 by [Braudie Blais-Billie](#)





Jason LaVeris/FilmMagic

Taylor Swift backstage with several awards at the 58th Grammy Awards at Staples Center on Feb. 15, 2016 in Los Angeles.

The exhibit has expanded since premiering in 2014 at the Grammy Museum in L.A.

The Grammy Museum is currently showing a traveling exhibit called *The Taylor Swift Experience*, curated by [Taylor Swift](#) herself, and it's coming to New York from Nov. 18 through February.

Handwritten lyrics, beloved childhood books and even the infamous 2009 Moonman that prompted the [Kanye West feud](#) will be displayed in the South Street Seaport exhibit.



I don't know about you but we're feelin' 22 ... Or 13? #Swifties #TaylorSwiftExperience #DJremix ----
- 📷 -- ✨ --

A photo posted by Dacia -- (@dayshuhhh) on Aug 2, 2015 at 4:32pm PDT

Because this is the Grammy Museum's most popular exhibit of all time, the New York showing -- which has a \$9 entry fee -- will be more expansive than its [original 2014 display in Los Angeles](#). The exhibit was set to close in May 2015 but was extended five months because it was so well-received. The artist's collection of personal artifacts will further include stage costumes from her most recent *1989 World Tour* and a never-before-seen 2016 Met Gala dress option made exclusively for Swift by Louis Vuitton.

Fans are urged to purchase tickets early through [Ticketmaster](#) because they sell fast -- the staged [Swiftie](#) selfie is in high demand, and fans have been showing their love on Instagram.



Ugh I just love doing Photoshoots with @taylorswift -- #taylorswiftexperience

A photo posted by Michael Medrano (@itsmichaelmedrano) on Oct 4, 2015 at 9:09pm PDT



Everything has Changed #TaylorSwiftExperience #texasstatefair #taylorswift @taylorswift @taylornation

A photo posted by Bethany-- (@swiftkitten13) on Oct 9, 2016 at 1:58pm PDT



LOOK AT THE BABY MUFFIN IN THE RIGHT PICTURE-- #tayloralison #taylorswift #1989
#december #taylorswiftexperience

A photo posted by •julı THE SWIFTE• (@swiftie_strong) on Oct 19, 2016 at 4:57am PDT



SHARE THIS:



Prince's Official Cause of Death Revealed

Usmagazine.com



Why Lady Gaga and Taylor Kinney's Relationship Didn't Work Out

Usmagazine.com



Gwen Stefani Will Return for Next Season of 'The Voice'

Foxnews.com



17 Cover Songs That Completely Blow Away The Original

Diffuser.fm

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