

STATE OF MINNESOTA  
COUNTY OF CARVER

**FILED**  
FEB 10 2017  
CARVER COUNTY COURTS

DISTRICT COURT  
FIRST JUDICIAL DISTRICT  
PROBATE DIVISION  
Case Type: Special Administration

In the Matter of:

Court File No. 10-PR-16-46  
Honorable Kevin W. Eide

Estate of Prince Rogers Nelson,  
  
Deceased.

**ORDER ON THE SPECIAL ADMINISTRATOR'S  
REQUEST FOR THE COURT TO DE-DESIGNATE  
THE DOCUMENT ENTITLED "ARTIST EQUITY  
SHEET" PRODUCED BY ROC NATION AS NOT  
"HIGHLY CONFIDENTIAL" OR  
"CONFIDENTIAL" UNDER THE COURT'S  
JANUARY 12, 2017 CONFIDENTIALITY AND  
PROTECTIVE ORDER BE FILED UNDER SEAL**

The above-entitled matter came before the Court on the Request of the Special Administrator to De-designate the Artist Equity Sheet as Highly Confidential under the Court's January 12, 2017 Confidentiality and Protective Order. On January 26, 2017, the Court permitted the Special Administrator and counsel for Roc Nation to file memoranda with respect to this issue before the Court. Roc Nation filed a memorandum in support of their position. Comerica Bank & Trust, recently appointed by the Court as the Personal Representative, filed a memorandum agreeing with the position previously proffered by the Special Administrator.

The parties to this argument have already agreed that Comerica Bank & Trust, the Estate's entertainment experts (Messers. McMillian and Koppelman), and the accountants and appraisers working for the Estate that need to know about the Artist Equity Sheet may view it upon signing a confidentiality form. The dispute seems to rest on whether counsel for Comerica Bank & Trust may disclose the Artist Equity Sheet to the Non-Excluded Heirs or agents of the Internal Revenue Service or the Department of Revenue.

The Court will address whether the Non-Excluded Heirs may view the Artist Equity Sheet at this time. The Court will not address at this time whether tax agents may view the Artist Equity

Sheet as the Court is not aware of whether this will ultimately be necessary. The Court will address that issue if, and when, it become relevant.

The Court has spent a great deal of effort determining who the heirs to this Estate are. While a currently unidentified person could still step forward with a claim to be an heir, and there are one or more appeals currently pending regarding heirship, the Court is quite confident that the six sibling or half siblings of the Decedent identified in the original Petition for Appointment of the Special Administrator are the heirs to this Estate. They will be referred to for the purpose of this Order as the Non-Excluded Heirs. They are all capable, have been involved in the negotiation of entertainment deals during the Decedent's life time or during the administration of this Estate, and are represented by capable counsel. The Court is not aware that any of the Non-Excluded Heirs have leaked confidential information regarding the Estate to other individuals or to the media. These heirs should properly be consulted by the Personal Representative before resolving any claim being prosecuted by Roc Nation and before negotiating any other entertainment deals that could conflict with the terms of any contractual rights currently possessed by Roc Nation. The Non-Excluded Heirs will need to know the terms of the Artist Equity Sheet.

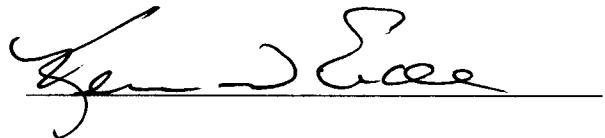
Paragraph 10 of the Confidentiality and Protective and Order filed by the Court on January 12, 2017 permits the Court to modify the designation of a document which has previously been designated as Highly Confidential. Paragraph 10 does not exclude the Artist Equity Sheet from this review and re-designation process.

The Court makes the following:

**ORDER**

1. The Artist Equity Sheet shall be de-designated as a Highly Confidential document pursuant to the Confidentiality and Protective and Order filed by the Court on January 12, 2017.
2. The Artist Equity Agreement shall be designated as a Confidential document under the terms of the Confidentiality and Protective Order filed by the Court on January 12, 2017. The Court specifically orders that the Artist Equity Sheet may be disclosed to the Non-Excluded Heirs and their counsel. The Non-Excluded Heirs and their counsel shall sign a confidentiality assurance substantially in the form of Exhibit B attached to the Confidentiality and Protective Order filed by the Court on January 12, 2017 before disclosure.
3. Other motions or requests relating to the re-designation of the Artist Equity Sheet not otherwise granted herein are hereby respectfully denied.

Dated: February 6, 2017

A handwritten signature in black ink, appearing to read "Kevin W. Eide", is written over a horizontal line.

Kevin W. Eide  
Judge of District Court