Defendant Name:

Date of Birth:

Court File No.:

Report Date:

**IDENTIFYING INFORMATION AND REASON FOR REFERRAL**

*Identify name of defendant, type of evaluation, ordering judge, date of order, and name/timeframe of charges with brief description of charges.*

**STATEMENT OF PURPOSE AND NON-CONFIDENTIALITY**

*Summarize statement made to defendant.*

**EVALUATION PROCEDURES**

*a) Summarize the evaluation process;*

*b) Identify collateral interviews conducted and related dates; and*

*c) Provide a listing of records reviewed with title, records owner, and date of records.*

**RELEVANT BACKGROUND INFORMATION**

*Provide a summary of relevant data regarding the defendant’s personal, medical, chemical health, mental health, and/or other relevant history.*

**CURRENT CLINICAL PRESENTATION**

*Provide data regarding the defendant’s current mental status and/or clinically relevant presentation. If psychological testing was administered, provide summary of testing results in a subheading in this section.*

**DIAGNOSIS**

*Provide diagnosis and adequate clinical data to support diagnostic conclusions.*

**INFORMATION RELEVANT TO COMPETENCE TO PROCEED**

*Provide specific data regarding the defendant’s competence-related abilities and areas of deficit related to both factual and rational understanding of the legal process and ability to consult with counsel.*

**FORENSIC OPINIONS**

**Opinion Regarding Competence to Proceed**

*Under Minnesota Rule of Criminal Procedure 20.01, subd. 2, a defendant is incompetent and must not plead, be tried, or be sentenced if the defendant due to mental illness or cognitive impairment lacks ability to: a) rationally consult with counsel; or b) understand the proceedings or participate in the defense.*

*Provide a forensic opinion regarding competence and reasoning to support conclusions.*

***OR*** *if the defendant was unwilling to participate and you are unable to provide an opinion on competence, complete the Defendant’s Participation in the Examination section below.*

**Defendant’s Participation in the Examination**

*Under Minnesota Rule of Criminal Procedure 20.01, subd. 4(b)(4), if an examination could not be conducted because of the defendant’s unwillingness to participate, provide an opinion, if possible, as to whether the unwillingness resulted from mental illness or cognitive impairment.*

**Competence-Related Prognosis**

*Under Minnesota Rule of Criminal Procedure 20.01, subd. 4(b)(2), provide the following opinions to the degree possible:*

*any treatment required for the defendant to attain or maintain competence; appropriate treatment alternatives by order of preference, including the extent to which the defendant can be treated without commitment to an institution and the reasons for rejecting such treatment if institutionalization is recommended;*

*whether a substantial probability exists that the defendant will ever attain competency to proceed;*

*the estimated time required to attain competency to proceed; and*

*the availability of acceptable treatment programs in the geographic area, including the provider and type of treatment.*

**Imminent Risk and Need for Emergency Intervention**

*Under Minnesota Rule of Criminal Procedure 20.01, subd. 4(b)(2)(b), provide an opinion regarding whether the defendant poses an imminent risk of serious danger to another, is imminently suicidal, or otherwise needs emergency intervention.*

*Examiner’s Signature*

*Printed Name and Title*